# **ALMA**

# A Low-cost Mission to an Asteroid

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# **Executive Summary**

There is a need within the space exploration community to perform crewed asteroid exploration missions. While any spaceflight mission is inherently costly and risky, crewed interplanetary missions will involve more cost and risk than most organizations are willing to incur. Therefore, a low-cost robotic precursor mission is necessary to reduce the risk and inform the design of future human exploration missions. In this document, A Low-cost Mission to an Asteroid (ALMA), costing no more than \$100M and designed to characterize a near earth asteroid (NEA), is proposed. This mission will reduce the risk of future exploration missions by performing remote-sensing characterization of the NEA. The design of the spacecraft proposed in this document is driven by the mission goals and requirements, which can be seen in Table 1. Currently 2008 EV5, a likely target of NASA's Asteroid Redirect Mission (ARM), is being used as the destination for ALMA because of its orbital parameters and the limited knowledge of the asteroid.

Table 1. The mission objectives chosen to accomplish the requirements were formulated based on feasibility, amount of data return per hardware cost, and data usefulness.

amount of data return per hardware cost, and data usefulness.					
Mission Goals and Requirements					
Lower the risk of a human exploration mission to a near	r-Earth asteroid				
Provide science knowledge that could determine the sui	tability of an asteroid as a candidate for human exploration				
Utilize a smallsat concept with emphasis on technology with space heritage					
Keep the total cost of the mission under a \$100M FY16	cap				
Mission Objectives					
Shall perform a detailed characterization of a near-Earth asteroid by:					
• Collecting spin rate data of the asteroid • Studying mass properties of the asteroid					
Determining chemical composition of the asteroid	• Creating a topographical map of the asteroid surface				

The insufficient characterization of NEAs poses risks to any asteroid exploration mission. If the target asteroid is not well characterized, there is a chance that no valuable science information will be obtained, and that the full investigation will not be conducted as planned. To

characterize the NEA, ALMA will be equipped with a framing camera and a gamma ray and neutron spectrometer. Information provided by these instruments will allow ALMA to determine the spin rate, mass properties, chemical composition, and topography of the target NEA more accurately than Earth-based methods.

The tight budget constraint necessitates a simple mission architecture to reduce the risks to ALMA. The selected mission architecture involves remote sensing while station keeping near 2008 EV5; this utilizes simple and proven technology while exposing the spacecraft to minimal risk and allowing the collection of important scientific data. After a six-month travel time to 2008 EV5, ALMA will spend just over 5 months on station characterizing the NEA and sending information gathered back to Earth before the end of mission design life.

2008 EV5 is a good candidate target because it has an orbit very similar to that of Earth, with a slightly different inclination. Changing inclination in this case requires a large amount of propellant. In order to save on the amount of on-board propellant and, ultimately, launch mass, ALMA will perform a lunar flyby. While this will add complexity, it will also nearly halve the required  $\Delta v$ . After leaving the sphere of influence of the Moon, a 188 day Hohmann transfer will result in a rendezvous with EV5.

Most low-cost, reliable, and American rockets meant for smallsats are not capable of meeting the high-energy orbital delivery requirements for satellites such as ALMA. Common heavy-lift launch vehicles are too costly unless ALMA capitalizes on a ridesharing opportunity as a secondary payload. However, a strict launch schedule necessitates that ALMA is the primary payload. Ultimately, the Minotaur V was selected as the launch vehicle for ALMA. As a medium lift, cost-effective vehicle with a strong reliability in family heritage, the Minotaur V is uniquely suited for smallsats requiring orbital injections above LEO. With additional mass delivery performance to TLI, the launch vehicle will readily integrate ALMA via standard interfaces.

The attitude determination and control system will use sun sensors, star trackers, a radar altimeter, and an inertial measurement unit to meet the pointing requirements of the payload and the other subsystems. The sun sensors and star trackers will be used to determine the orientation of the spacecraft throughout the duration of the mission. Once 2008 EV5 is within visual range, the framing camera will be used in conjunction with the radar altimeter to determine the spatial relationship between ALMA and 2008 EV5. A set of reaction control system thrusters will adjust and maintain ALMA's attitude as required by the individual subsystems, while the inertial measurement unit measures the angular rates.

ALMA will utilize a solar electric power architecture to meet the power requirements of the payload and the other subsystems. Silicon solar cells will provide power while the spacecraft is in direct sunlight, while lithium ion secondary batteries will be used in case the ALMA spacecraft unexpectedly enters eclipse. A regulated direct energy transfer system will be used to control the bus voltage at a constant 28V.

ALMA will have significant on-board processing capabilities to handle the fast payload data rates, large memory storage, and autonomous functions. Engineering and science data will be processed by a central flight computer which will prioritize data flow and connect to other subsystems via high speed interfaces. A RAD 750 processor was selected, in addition to 14 GB of cost-effective EEPROM, housed in a radiation tolerant housing.

The communications system will connect ALMA to Earth through an X-band frequency link to the Deep Space Network as part of a store and forward data architecture. A 2 meter diameter, high-gain parabolic antenna was sized to meet the downlink data rate demand of 375 kbps. The Small Deep Space Transponder will interface to the data bus to receive data for transmission and return telemetry and commands. An additional traveling wave tube amplifier will

ensure the downlink signals have the strength to meet the derived requirement of a 5dB downlink margin.

The thermal control system will use a combination of passive and active elements to maintain each component of the ALMA spacecraft at its operational temperature. The steady state temperature must be kept between 10 °C and 25 °C as determined from the operational temperature requirements of the spacecraft components. In order to accomplish this, a baseline architecture consisting of MLI, thermal coating, electric heaters, heat pipes, solid state controllers, and radiator will be implemented. By using the radiator or electric heaters, the required steady state temperature will be achieved for all modes of operation.

The propulsion system of ALMA will provide the estimated 2.7 km/s of  $\Delta v$  necessary for the mission. ALMA's propulsion system will use a chemical bipropellant system to obtain the required specific impulse while meeting the cost, mass, and time requirements. Specifically, hydrazine and nitrogen tetroxide have been selected as the propellants due to their heritage in spaceflight and space storability.

A small, experienced teams will build, integrate, and assemble ALMA's subsystems. The Principal Investigator will have spacecraft and mission systems managers working directly under them and have final authority for the mission. In addition, techniques for reducing mission cost and schedule overruns should be utilized. This will ensure that ALMA has a highly competent management team that can minimize programmatic risk.

ALMA has a 2.3 year development plan which will save money over the typical 3 year schedule. This is possible because the majority of parts for ALMA are TRL 9 and will require little development, only integration and testing. Various tests and reports will act as gates between phases A-E, resulting ultimately in ALMA's launch aboard a Minotaur V on May 30, 2024. Following launch, ALMA will fly by the Moon and, 188 days later, reach 2008 EV5. Five months

will be spent station keeping near the asteroid, which leaves a one month margin before the end of the design life. At the end of planned science investigation, the amount of remaining resources will determine the end of life operations; if money, propellant, and reliability allow, ALMA could be used to take higher fidelity maps as requested by scientists.

The proposed design prioritizes the use of simple, proven technologies, and utilizes low-cost technology with a high TRL in order to stay within the tight budget. ALMA's overall mission duration is relatively short, which will aid ALMA in meeting the strict cost and precursor status requirements. Given current best estimates for each subsystem, ALMA will have a total mission cost of \$81M, which is sufficiently below the \$100M budget.

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# ALMA A Low-cost Mission to an Asteroid

#### **Mission Goals and Requirements**

Lower the risk of a future robotic or human exploration mission to a near-Earth asteroid

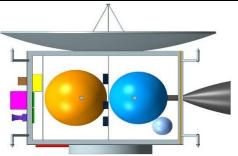
Provide knowledge that could determine the suitability of an asteroid as a candidate for human exploration

Utilize a smallsat concept with emphasis on technology that has been flight proven and is low in cost

Keep the total cost of the mission below \$100M FY16

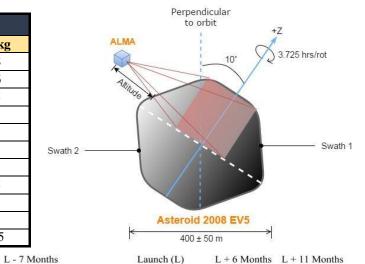
Mission Architecture				
Launch Vehicle	Minotaur V			
Dry Mass with Contingency	156.2 kg			
Wet Mass with Contingency	384.9 kg			
Propellant	Hydrazine/NTO			
Power System	Solar Electric Power			
Communication Network	Deep Space Network			
Target	2008 EV5			
Mission Trajectory	Hohmann Transfer + Lunar Assist			
Mission Design	Remote sensing via station keeping			
Mission Length	11 months			





Science Objective and Payload					
Objectives Characterize NEA to support robot					
o o jecu ves	human asteroid missions				
	Framing Camera GRS	Global image mapping			
		Topographic mapping			
Payload		Physical property analysis			
		Chemical composition			
		Boulder identification			

Subsystem Breakdown						
Subsystem Cost (\$kFY16) Mass, kg						
Payload	\$10,290	16.28				
Communications	\$5,730	23.86				
Propulsion	\$6,920	35.05				
C&DH	\$2,460	6.65				
ADCS	\$3,230	8.26				
Thermal	\$570	2.31				
Power	\$4,140	7.09				
Structures/Bus	\$3,040	20.65				
Launch Vehicle	\$30,000	-				
Operations	\$15,000	-				
Total	\$81,380	120.15				



L - 22 Months L - 16 Months Phase B 10/22-3/23 Phase D 11/23-5/24 Phase A 4/22-10/22 Phase E Phase C 5/24-5/25 3/23-11/23 SRR MDR PDR CDR SIR TRR FRR/ORR Launch Asteroid **EOL Operations** 9/28 Arrival 12/24 6/22 9/22 2/23 8/23 12/23 4/24 5/24 5/25

## I. Science Investigation

## A. Science Goals and Objectives

#### 1. Science Overview

A Low Cost Mission to an Asteroid (ALMA) will make high level contributions to lower the risk of future robotic and human exploration missions to a Near-Earth Asteroid (NEA). ALMA will be a smallsat precursor for missions similar to Asteroid Redirect Mission (ARM), a NASA mission involving asteroid redirection and human exploration of an NEA. ALMA will provide knowledge to determine the suitability of an NEA for further investigation and guide human exploration activities by characterizing an asteroid.

#### 2. Target Selection

The key parameters considered during the target asteroid selection process were the candidate's orbit, current state of characterization, and whether it has been targeted for other precursor missions. After comparing the parameters presented in Table 1, 2008 EV5 (hereafter EV5) possesses the most attractive qualities of all the candidates. EV5 has the smallest aphelion, which will make for the least costly transfer orbit, and it has not been visited nor designated for another precursor mission.

Table 1. Comparison of candidate asteroids with designated precursor mission reveals that 2005 EV5 is the best target for ALMA.

Asteroid	Aphelion (AU)	Precursor Mission
Itokawa	1.7	Hayabusa (2005)
1993 JU3	1.42	Hayabusa 2 (2018)
Bennu	1.36	OSIRIS-REx (2018)
2008 EV5	1.04	No precursor

If future exploration missions to EV5 fail, the current state of EV5's characterization may be to blame. Information about EV5's physical properties are derived only from Earth-based observations, which have yielded a mass estimate and a normal albedo, with 33% uncertainty in each<sup>1</sup>. EV5 is a potential target for ARM. With such large uncertainties of the target properties, ARM will risk mission failure or be forced to use large development margins. Given the low surface resolution from delay-Doppler images, evident in Figure 1, there is not even the guarantee that a suitable boulder can be found on EV5, so designing ARM to redirect one would incur large risks. The mineral composition of EV5 is undetermined due to the ambiguous normal albedo of EV5, which could compromise ARM's mission objective to collect water-rich carbonaceous asteroid material<sup>1,2</sup>. A better characterization will not be obtained unless a remote sensing mission such as ALMA is flown. ALMA's detailed characterization of EV5 will put to rest the aforementioned uncertainties that threaten the success of ARM or other crewed asteroid missions to EV5.

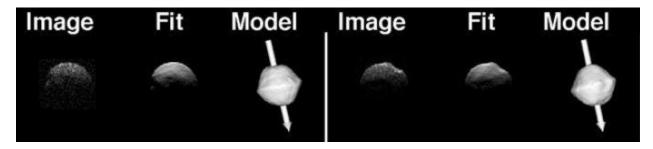


Figure 1. Delay-Doppler images from Arecibo (modified) have low surface resolutions, evidence of the poor characterization knowledge of EV5 (Ref. 1).

ALMA will conduct an in-depth characterization of EV5. This involves determining the rotational period, rotational axis, albedo, mass properties, elemental composition and abundance, and topography at the respective resolutions necessary for an estimate of sufficient confidence to determine the suitability of EV5 for future missions. Knowledge gained from ALMA will directly reduce implementation and mission risks for human exploration missions to EV5.

#### 3. Science and Measurement Objectives

Successful completion of the ALMA science objectives will enable ARM, or similar missions, to demonstrate asteroid deflection techniques for planetary defense, collect water-rich carbonaceous asteroid material, demonstrate resource utilization methods, and give crews the requisite knowledge for safe surface interactions. Expected uncertainties, after the science investigation, for rotation axis angle, albedo, and dimensions are derived from the minimum requirements to complete the science objectives. Those minimum requirements are based on the improvements from Earth-based observations that are possible given the mission budget and the mission architecture. The uncertainty for each measurement is listed in Table 2.

Objective 1 is to determine the rotational period and rotation axis of EV5. The rotational period is currently estimated to be  $3.725 \pm 0.001$  rotations per hour and the obliquity is estimated to be  $180^{\circ} \pm 10^{\circ}$  due to a retrograde rotation<sup>1,3</sup>. More accurate measurements will yield a greater understanding of EV5's orbit and aid future exploration missions.

Objective 2 is to determine the normal albedo over the entire surface of EV5. The albedo is currently estimated to be  $0.12 \pm 0.04$ . ALMA will obtain the necessary information to determine the albedo to less than 15% uncertainty, which is half the uncertainty of Earth-based observations. The albedo will be used to determine EV5's taxonomy using Tholen classification.

Objective 3 is to determine the mass properties of EV5, including the dimensions, bulk density, mass, and moments of inertia. Accurate mass properties will create confident models of the asteroid redirection process and cut design margins, which will reduce mission and implementation risk. ALMA will verify the internal structure through measurements of the rotational period, mass concentration, mass distribution, and surface gravity. The internal structure of EV5 is thought to be a rubble-pile, which means that it could contain large interior voids

which may be prone to disruption<sup>1</sup>. The internal structure is important to understand because the redirection process and human surface interactions may disrupt the structural equilibrium, causing EV5 to break apart. This would be a catastrophic event, jeopardizing the mission.

Objective 4 is to determine the composition and abundance of minerals on EV5. Mineral information will be combined with the measured albedo from Objective 2 to determine the Tholen classification of EV5. A detailed understanding of mineral deposits will also allow scientific areas of interest to be highlighted for a crewed mission.

Objective 5 is to determine the surface topography of EV5. A topographic map can be constructed from stereogrammatry, a 3D stereo model technique where two images are obtained from two different look directions under the same lighting conditions<sup>5,6</sup>. Another map will be constructed from radar altimetry data for redundancy. A detailed map will allow humans to navigate to and conduct science on the aforementioned areas of interest in a safe manner.

Table 2. ALMA's science objectives flow directly from the RFP and will directly contribute to lowering the risk of human exploration missions to EV5 and determine the suitability of a target for redirection $^2$ .

ALM A	Science Objective	ALMA Measurement Objective	Map - Altitude	ALMA Measurement Requirement	ALMA Inst.	Instrument Requirement	ALMA Science Data and Analysis Products	Science Impact
1	Rotation Period and Rotation Axis	Determine rotation period to $< 0.01\%$ uncertainty  Determine the rotation axis to $< 2^\circ$	1 - HASK	Image EV5 facing nadir	FC	1 Hz imaging with clear filter	Rotation period and rotation axis     Global image map	Greater understanding of EV5 orbit     Determine suitability of target for redirection
2	Normal Albedo	Determine albedo to < 15% uncertainty	1 - HASK	Image EV5 facing nadir	FC	Image with clear filter	Normal albedo     Global image map	Tholen classification
		Determine dimensions to < 5% uncertainty	1 - HASK	Image EV5 facing nadir	FC	Image with clear filter	Surface gravity field     Mass, density, MOI	Determine suitability of target for redirection
		Determine mass to < 2% uncertainty	2 - HASK	Measure X-band Doppler shifts	HGA	Send and receive radio signals	Shape model of EV5	
3	Mass Properties	Determine bulk density to < 5% uncertainty	3,4,5 - LASK	Measure VNIR spectrum with resolution < 1 m/pixel	FC	Image using 7 color and 1 clear optical bandpass filters from 400 nm - 1050 nm		
			3,4,3 - LASK	Measure Gamma ray lines to a depth of 50cm	GRS	Energy resolution: 0.3% at 1332 keV, energy range: 10 eV – 1 MeV		
	Mineral Composition	Find and identify deposits of minerals		Measure VNIR spectrum with resolution < 1 m/pixel	FC	Image using 7 color and 1 clear optical bandpass filters from 400 nm - 1050 nm	Global VNIR map     Global gamma ray map	Tholen classification Determine suitability of target for redirection Guide human exploration
4	and Abundance	Determine abundance of minerals	3,4,5 - LASK	Measure Gamma ray lines to a depth of 50cm	GRS	Energy resolution: 0.3% at 1332 keV, energy range: 10 eV – 1 MeV		activities by highlighting areas of scientific interest for further investigation
5	Surface Longgraphy	Determine topographic variations and	3,4,5 - LASK	Stereogrammatry: 2 global maps ( +15° and -15° from nadir) with resolution < 1m/pixel	FC	Image with clear filter	Global topographic map     Shape model of EV5	Guide human exploration activities by accessing dangerous topography
	1 5 1 7	identify boulders		Measure radar Doppler shifts	RA	Send and receive radio signals		

#### 4. Measurement Requirements

ALMA measurement requirements are derived directly from our science objectives and take place over two different mapping altitudes. Table 2, on the previous page, is a comprehensive view of how the science objectives influence the measurement requirements and trickle down to the instrument requirements.

Two High Altitude Station Keeping (HASK) maps at an altitude of 1276 m from the surface of EV5 are required for Objectives 1, 2, and 3, because the whole body must be in-frame. The HASK altitude was determined based on the measurement objectives and the FOV constraints from the ALMA Framing Camera (Section B.1). In order to maximize spatial resolution, 1276 m is the lowest possible altitude that will ensure the FOV will allow for the entire face of the asteroid to be in the frame when centered with a conservative 0.5° pointing error.

Three Low Altitude Station Keeping (LASK) maps at an altitude of 500 m from the surface of EV5 are required for Objectives 3, 4, and 5. The LASK altitude was derived from the one year ALMA mission life constraint and the surface resolution requirement. After six months of transit and a one month margin, the science operations are limited to five months. There is an upper limit to the data bandwidth that can be transmitted, given the capabilities of the Deep Space Network, the design of the high gain antenna, and the transmission power. Each image in a mapping swath must have a 20% overlap in coverage and a surface resolution of less than 1 m/pixel. The image overlap requirement is baselined from Dawn, which completed successful characterizations of both Vesta and Ceres. The resolution requirement comes from the centimeter-level characterization required for the boulder capture option for ARM<sup>8</sup>. The optimal altitude for LASK is 500 m, because it satisfies all constraints and requirements without any additional risk.

The asteroid dimensions can be derived from images at a known distance from the target.

The mass and bulk density can be derived from a multitude of sources, however, the most precise

technique for determining mass is through examining the X-band Doppler shifts of the signals sent by ALMA's high gain antenna (HGA) at EV5<sup>4,6</sup>. The frequency shifts are a byproduct of the gravitational perturbations related to the mass of an asteroid<sup>4</sup>. This will reveal the mass concentration and the mass distribution of EV5 to less than 2% uncertainty, significantly more accurate than Earth-based observations, as is evident in Figure 2. Bulk density can be estimated to less than 5% uncertainty by pairing subsurface measurements of gamma-ray lines yielding elemental abundances with a map of mineral composition<sup>5</sup>. The accuracy of the mass and density can be cross-checked using the dimension measurements. Moments of inertia can be derived from the mass concentration and mass distribution. ALMA's multifaceted approach to determining the mass properties inspires a high level of confidence in the quality of the science return.

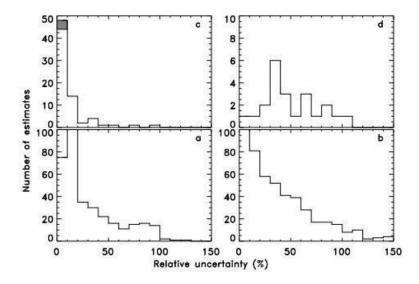


Figure 2. Distribution of the relative accuracy of mass estimates obtained with four different methods: (a) orbit deflection during close encounters, (b) planetary ephemeris, (c) orbit of natural satellites or spacecraft (gray bar), and (d) indirect determination of density converted into mass<sup>4</sup>. It is clear that ALMA will be able to provide a mass estimate that is the most likely to be accurate of any remote sensing method.

To find and identify deposits of minerals, the surface of EV5 must be mapped according to the Eight-Color Asteroid Survey required for Tholen classification which requires eight broadband filters covering wavelengths from 340 nm to 1040 nm<sup>9</sup>. EV5 will most likely be a Type-C asteroid, given the information from Earth-based observations<sup>1</sup>. The asteroid spectra for Type-C shown in Figure 3 reveals that reflectivity effectively flat lines after 1000 nm. Detecting wavelengths far beyond 1000 nm will not yield additional useful information for Type-C asteroids. In the event that there are other trace elements, they will be picked up by gamma ray spectrometry. Optical bandpass filters covering wavelengths from 400 nm to 1050 nm, which fall in the visible and near-infrared spectrum (VNIR), will be sufficient to meet measurement requirements in Table 2. To measure the abundance of minerals which compose EV5, gamma ray lines will be measured to a depth of 50 cm (Ref. 7). The VNIR and gamma ray data will be of high spatial and spectral resolution, respectively, which can be fused together to yield a better product of both high spatial and spectral resolution.

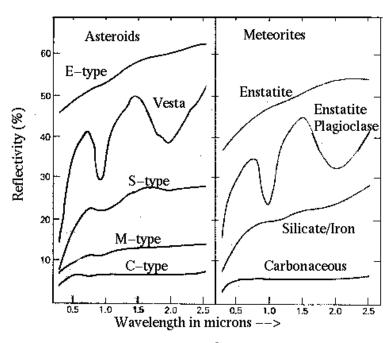


Figure 3. Asteroid spectra and matching meteorite types<sup>5</sup>. ALMA spectroscopy is customized to detect the wavelength required to identify minerals on a carbonaceous asteroid, which EV5 is believed to be.

#### 5. Baseline Science Scenario

The ALMA baseline science scenario returns the measurements of EV5 necessary to determine the suitability of further exploration and redirection, whether the target is the entire asteroid or a boulder from the surface, to guide human exploration missions. ALMA will obtain complimentary measurements derived from several different techniques in order to return the highest quality data possible for a low-cost mission while maintaining minimal risk. There will be a total of 5 maps in the baseline science scenario yielding data and products crucial to characterizing EV5. Each map has been denoted in Table 2 on page 13. The complete science operations timeline is in Section II.B.1.

For Map 1, ALMA will take images of EV5 at HASK at a surface resolution of 38 cm/pixel (Section B.1) facing nadir as EV5 rotates for Objectives 1, 2, and 3. EV5 has an effective obliquity of 10°, so shadow regions will not be a problem at any point in EV5's orbit. The image data sent back to the ground team will be used to create a global image map. The dimensions will have an uncertainty of 1.08%, based on the uncertainty of the radar altimeter (Section II.A.6). The normal albedo is a function of the diameter, and will have an uncertainty of 14.26%. For Map 2, ALMA will orient the HGA to nadir and measure Doppler shifts to support Objective 3. Maps 1 and 2 will take 7.45 hours to complete and are expected to yield 4.05 GB of data total.

For Maps 3, 4, and 5, ALMA will image EV5 in the VNIR spectrum at a surface resolution of 15 cm/pixel (Section B.1) and collect gamma ray line measurements to a depth of 50 cm at LASK over 2 swaths per map, covering 230 m per swath, to complete Objectives 3, 4 and 5. ALMA will start at the center of Swath 1 and move down to the center of Swath 2 as depicted in Figure 4. Two of the three maps are needed to complete the topography map through stereogrammatry, however, each map will also include VNIR and gamma ray measurements to maximize data collection and data redundancy. With respect to nadir, the first map will be

+15°, the second map will be 0°, and the third map will be -15°. Each map will take 7.72 hours to complete and collect an estimated 8.78 GB of data. These three maps will be used to create a shape model, global VNIR map, gamma ray map, and a topographic map to guide future exploration endeavors.

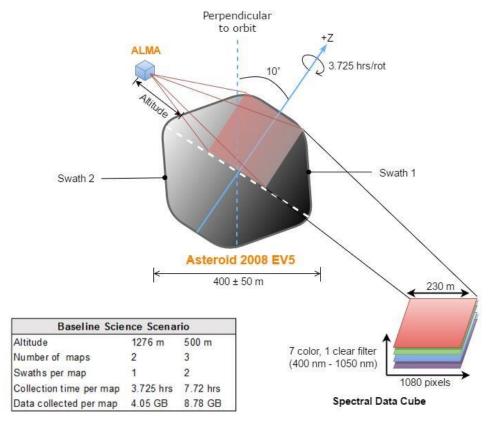


Figure 4. ALMA's science concept of operations maximizes data collection through a redundant approach which inspires confidence in the quality of science return.

After the completion of each swath, the images will be analyzed using the on-board computer. The images will be judged on the basis of percent of the asteroid in a shadow region, maintenance of altitude, and pointing performance. If the images do not meet the criteria, ALMA will delete the unsatisfactory swath images and reimage the swath up to 2 more times before moving to the next swath. Once the ground science team receives and examines the data, they will have the option to request additional, higher resolution images of regions that may not have been

covered to satisfaction. This will be an end-of-life operation, designated as a secondary objective, that will be completed if there are sufficient resources such as power, propellant, and mission cost.

#### **B.** Science Implementation

#### 1. Science Payload

ALMA's payload suite consists of components with a technology readiness level (TRL) of 9 which ensures dependable, low risk performance based on flight heritage. The suite includes the Framing Camera (FC) and the Gamma Ray Spectrometer (GRS), shown in Figures 7 and 8, with specifications in Tables 4 and 5, respectively, on Page 21.

The ALMA FC specifications have been baselined using the Dawn FC specifications. The Dawn FC was used to characterize Vesta and Ceres in a similar capacity that will be required of the ALMA FC to characterize EV5<sup>10</sup>. The FC has been classified as TRL 9 because the technology for the FC requirements in Table 2 on Page 13 is very well understood and because it is a commercial-off- the-shelf part with some modifications. The FC will be built by Malin Space Science Systems (MSSS) based on the ECAM-C50, a color 5 megapixel camera with a CMOS sensor, and an ECAM-NFOV lens with a field-of-view of 25° x 19°. The wavelength range of the ECAM-C50 will be modified to be 400 nm – 1050 nm in compliance with the requirements mentioned in Section A.4. The surface resolution at HASK and LASK will be 38 cm/pixel and 15 cm/pixel, respectively, enabling centimeter-level characterization which is required to resolve boulders on the surface of EV5 (Ref. 8). The FC will be built by a reliable team with experience from building many space qualified cameras for NASA missions, including Mars Reconnaissance Orbiter's Context Camera and Mars Global Surveyor's Mars Orbiter Camera.

The ALMA GRS specifications have been baselined using the Mars Odyssey GRS featuring an energy resolution of 0.3% at 1332 keV enabling it to sense gamma ray lines 50 cm

below the surface<sup>7</sup>. The Mars Odyssey possess a "large detector size and a high energy resolution... which makes it the most likely of any heritage GRS instrument to be able to detect carbon, sulfur, and other minor elements in carbonaceous asteroids," (Lim et. al. 2015) as seen in Figure 5. This capability makes it the most favorable heritage model GRS for the ALMA GRS. Figure 6 shows that the Mars Odyssey GRS is resilient to background noise and sensitive enough to detect hydrogen lines due to a high energy resolution from a High-purity Germanium (HPGe) detector<sup>7</sup>. The ALMA GRS is planned to be built by Los Alamos National Laboratory, which built the gamma subsystem and the neutron detector on the Mars Odyssey GRS.

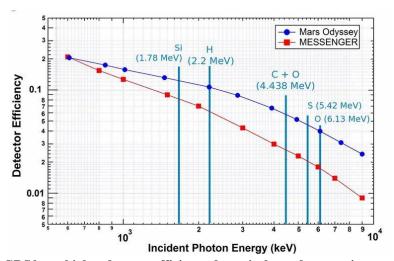


Figure 5. The Mars GRS has a higher detector efficiency due to its large detector size across the board when compared to the MESSENGER GRS, meaning it is more adept at detecting carbon abundance<sup>7</sup>.

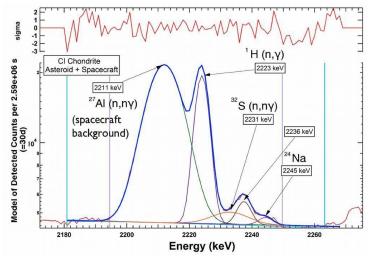


Figure 6. The Mars Odyssey GRS is sensitive enough to detect hydrogen, while remaining undeterred to background noise from the aluminum spacecraft bus<sup>7</sup>.

Table 3. The cost estimate for ALMA's instrument suite is based on the NASA Instrument Cost Model (FY16\$), employing conservative baselines and growth factors to ensure the payload meets the mass, power, and monetary budgets<sup>11,13</sup>.

<b>Optical Instrument</b>	N	Iass [kg]	Peak Power [W]		Pointing	Max Data	Design	TRL	Cost Estimate	
	CBE	with Reserve	CBE	with Reserve	Requirement	Rate [kbps]	Life		CBE	with Reserve
FC	5.5	6.05	19	20.9	0.5°	2238.51	18	9	\$ 3.88	\$ 4.27
GRS	9.3	10.23	16	17.6	1°	0.07	30	7	\$ 5.47	\$ 6.02
Total	14.8	16.28	35	38.5					\$ 9.35	\$ 10.29

Table 4. The ALMA FC has the space-flight heritage of the Dawn FC<sup>10</sup>.

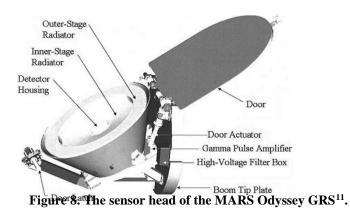
Parameter	Dawn FC Baseline Performance	ALMA FC Performance	
FOV	5° x 5°	25° x 19°	
F-Number	7.5	3.5	
Spectral Range	400–1050 nm	400–1050 nm	
Optical Bandpass Filters	7 color, 1 clear	7 color, 1 clear	
Spatial Resolution	12 m/pixel at 465 km altitude	0.38 m/pixel at 1276 m altitude	
Image Resolution	1392 x 1040 pixels	2048 x 1080 pixels	
Image Overlap	20%	20%	
Data Encoding	12 bit	12 bit to 8 bit	
Data Compression	External high quality (Lossy)	JPEG(Lossy)	
	Internal (Lossless)	First-Difference Huffman	
		(Lossless)	

Table 5. The Mars Odyssey specifications will allow ALMA's GRS to detect carbon, hydrogen, oxygen, sulfur, and other minor elements on EV5<sup>11</sup>.

Parameter	Requirement
ΔΕ/Ε	0.3% at 1332 keV
Gamma Sensor Head	Ultrahigh-Purity Germanium Crystal
Neutron Spectrometer Sensor	Boron-loaded Plastic Scintillator at ≥7% resolution
High-Energy Neutron Detector	
Small Detector	0.4 eV – 1 keV
Medium Detector	0.4  eV - 100  keV
Large Detector	10 eV – 1 MeV
Scintillation Block	Stilbene crystal, Hamamatsu PMT-1924
Scintillation Lock	Caesium Iodide Crystal, Hamamatsu PMT-R1840



Figure 7. The ECAM-C50 by MSSS will be modified to expand the spectral range to  $400 \text{ nm} - 1050 \text{ nm}^{12}$ .



The GRS performance is baselined using the Mars Odyssey GRS, while the mass and power requirements are baselined using the Dawn Gamma Ray and Neutron Detector (GRaND). The Mars Odyssey GRS was flown in 2001, and there have been many gamma ray spectrometers flown since then, which is why the GRS is TRL 7. Given the advancements in gamma ray spectroscopy from 2001 to 2016, mass and power requirements have decreased for a similar performance. Aspects of the original build are outdated and will require a refresh to 2016 standards. Although the Dawn GRaND utilizes different sensors than the Mars Odyssey GRS for gamma ray detection, it is a more realistic lower bound for the mass and power requirements.

The NASA instrument cost model has been used with conservative growth factors of 10% on the mass and power requirements of each instrument shown in Table 3. The design life of the FC includes 1 year for development and testing plus 6 months of science operations. It is worth noting that the design life of the ECAM-C50 is 10 years<sup>13</sup>. The design life of the GRS includes 1 year of testing and development, 1 year of contingency because the Mars Odyssey GRS build is being updated, and 5 months of science operations.

#### 2. Instrument Testing and Calibration

A battery of instrument tests will ensure ALMA produces the best possible characterization. Each instrument used for data collection during science operations, including the HGA and the Radar Altimeter, has a method of verification for their respective requirements as described in Table 6. Attitude determination will confirm spatial resolution requirements. Tests will be comprehensive and redundant to flag and determine sources of unexpected behavior and reduce mission risk. The FC functionality tests will be conducted by Malin Space Science Systems based on the tests they conduct for the ECAM-C50 during product quality control. After spacraft integration, the ALMA FC personnel will verify expected operation during power

subsystem testing.

The spectral modifications to the ECAM-C50 will not require new tests or calibration techniques to be designed by MSSS. However, calibration will be expanded to include the required spectral range in Table 6. The FC will be calibrated before launch, but after integration with optics. Ground calibration will measure the performance of the FC for background noise in low light conditions and geometric calibration which includes lens distortion, radial distortion, skew factor, and scaling factor<sup>14</sup>. The culmination of these tests will verify the FC will meet its requirements in Table 6 so that every image will be of high optical fidelity during science operations.

Mineral identification, determination of mineral abundance, and sensing depth of the GRS will be tested. The GRS will be tested and calibrated using radiometric stimuli over the gamma ray spectrum before spacecraft integration by Los Alamos National Laboratory in order to verify the GRS meets its requirements in Table 6. The radiometric stimuli will be representative of the minerals that are expected to be found on the surface of EV5, especially water-rich carbonaceous materials, silicates, sulfur, chlorine, and sodium. The GRS will need to be calibrated by the ALMA GRS personnel after spacecraft integration to reduce noise, especially from the spacecraft bus. Comprehensive testing will reduce uncertainties of the science return.

Table 6. Method of verification for each instrument requirement. This table was derived from Table 2.

ALMA Measurement Requirement	ALMA Inst.	Instrument Requirement	Method of Verification
Measure VNIR spectrum with resolution < 1 m/pixel	FC	Image using 7 color and 1 clear optical bandpass filters from 400 nm - 1050 nm	Geometric calibration     Attitude determination
Stereogrammatry	FC	Image with clear filter	Geometric calibration     Attitude determination
Measure Gamma ray lines to a depth of 50cm	GRS	Energy resolution: 0.3% at 1332 keV, energy range: 10 eV – 1 MeV	Radiometric calibration     Attitude determination
Measure X-band Doppler shifts	HGA	Send and receive radio signals	•Instrument functional testing
Measure radar Doppler shifts	RA	Send and receive radio signals	•Instrument functional testing

## **II. Mission Implementation**

## A. Technology Development

#### 1. Mission Overview

There are many approaches to designing a smallsat mission that satisfy the goal of the request for proposal (RFP) of supporting a future asteroid exploration mission. Three distinct mission architectures were proposed and evaluated based on the RFP requirements: a space-based telescope, a remote sensing mission, and a lander/impactor mission. A high level trade study comparing alternative mission architectures, outlined in Table 7, determined that remote sensing has the most positive set of characteristics, and so it was chosen as ALMA's mission architecture.

Table 7. A comparison of mission architectures clearly shows why the ALMA team decided on remote sensing.

Description	Scientific Value	Required Technology Development	<b>Driving Cost</b>	Mission Risk
Earth-orbiting Telescope	Sufficient characterization of many asteroids	No heritage telescope for this application	• Expensive payload development from low TRL	<ul> <li>The spacecraft would primarily stay in earth orbit</li> <li>No complicated maneuvers</li> </ul>
Remote Sensing	Sufficient characterization of one asteroid	<ul> <li>No new technologies have to be developed</li> <li>Potential to use COTS parts</li> </ul>	• Δv required to rendezvous with the NEA	Extensive heritage     Orbital     requirements are     well known
Lander/Impactor	• Excellent characterization of one asteroid	•Landing/impacting system technology must be developed and built from scratch	• Development of landing/impacting system due to low TRL	Docking complexity     (Rosetta)     Difficult to test low gravity environments

While in the vicinity of 2008 EV5, the ALMA spacecraft will fully characterize the asteroid in order to support future exploration missions, crewed or otherwise. Characterization of EV5 will support future missions by reducing the risk of nearly all facets of the mission. Future missions will be able to reduce margins, which will reduce risk and cost significantly. In order to support the science investigation, ALMA will have the requirements detailed in Table 8.

Table 8. The top-level requirements derived from the RFP, mission concept of operations, and feasible architectures drive the overall spacecraft design towards proven and readily accomplished implementation.

architectures drive the overall spacecraft design towards proven and readily accomplished implementation.
Mission Requirements
Shall utilize smallsat concept by keeping total spacecraft mass below 500 kg
Shall keep total mission cost below \$100M FY16 cap
ALMA shall characterize the properties of NEA 2008 EV5 to the accuracies set by the science team
System Requirements
ALMA shall travel to and station keep at 2008 EV5
ALMA shall have an operational life of greater than 1 year
Shall measure VNIR spectrum with resolution < 1/pixel and gamma ray lines to a depth of 50 cm
Subsystem Requirements
Launch vehicle shall deliver ALMA into a TLI in May 2024
ALMA shall communicate with Earth through the DSN in a store and forward architecture
ALMA electronics shall be robust to a TID of 30 krads of radiation
ALMA's ADCS shall control attitude with a pointing requirement 0.3°
ALMA's propulsion system shall provide 2.2 km/s Δv in 2 burns
ALMA's computer shall process 8.78 GB of science data and execute commands autonomously
ALMA's power system shall provide 205 W at peak power to the bus
ALMA shall maintain a bus temperature between 10°C and 25°C

In order to meet these requirements, the ALMA development team has designed a low-cost, reliable mission. ALMA will launch in late May 2024 on a Minotaur V launch vehicle. The Minotaur V will put ALMA on a trajectory to perform a flyby of the moon. Shortly after the flyby, once ALMA is outside of the sphere of influence of the moon, the first of two main engine burns will occur to put the spacecraft on a Hohmann trajectory to intercept 2008 EV5 188 days later. When sufficiently close to the asteroid, the second main engine burn combined with a slow

approach burn by the ADCS will bring ALMA into position to begin science investigations at the High Altitude Station Keeping (HASK) position of 1,276 m from EV5. After the required science has been collected and transmitted back to science teams on the ground, ALMA will utilize its ADCS thrusters again to slowly move into a Low Altitude Station Keeping position of 500 m above the surface of EV5 and collect data and transmit it back to Earth at predetermined intervals. After 5 months of science collection and return, ALMA will have completed its science objectives. If resources allow, ALMA will collect and return data about areas specifically requested by the science team on Earth to provide additional science return beyond the information that ALMA is planned to provide. The concept of operations of ALMA can be seen in the graphic below, and every subsystem has been designed to support these operations and ensure success in any feasible scenario. Furthermore, a parts list for ALMA can be found below in Table 9.

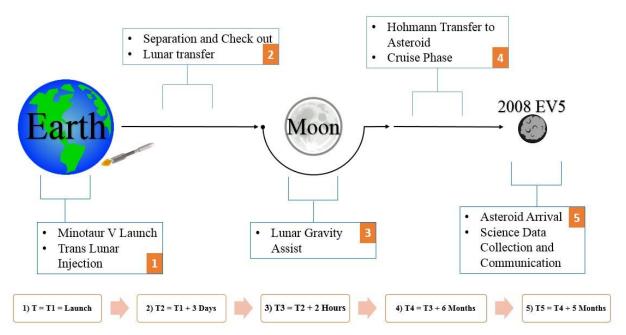


Figure 9. The ALMA orbital concept of operations highlights the orbital trajectory designed for efficient use of time, fuel, and cost to place the spacecraft in a position optimal for science data on EV5 return.

Table 9. Complete parts list with mass and contingency to reduce the possibility of mass overruns.

Subsystem	e parts list with mass and contin	Mass [kg]	Contingency [%]	Mass with Contingency [kg]	TRL	
Payload	Framing Camera (FC)	5.5	10	6.1	9	
1 ayibau	Gamma Ray Spectrometer	9.3	10	10.2	7	
Structures	Bus	15.9	30	20.7	6	
	Solid-State Controller	0.2	12	0.2	7	
	MLI	1.2	12	1.34	9	
Thermal	Coatings	0	12	-	9	
Hiermai	Electric Heaters	0.4	12	0.4	9	
	Heat Pipes	0.5	12	0.6	6	
	Radiators	0.3	12	0.3	6	
	Solar Panels	3.1	5	3.3	9	
n	Li Ion Batteries	2.1	5	2.2	9	
Power	Power Control System	0.3	5	0.3	9	
	Power Distribution System	1.3	5	1.4	9	
	Spacewire Data Bus - SPA-S	2	10	2.2	9	
	RAD 750 computer	0.5	10	0.6	9	
	SpaceWire interface board	0.5	10	0.6	9	
CDH	Subsystem Memory Cards	2	10	2.2	7	
	Mass Memory	1	10	1.1	8	
	Flight Software	0	10	-	7	
	SDST X-band Transponder	2.7	12	3.0	9	
	X-Band TWTA	2.3	12	2.6	9	
	X-Band Diplexer	0.6	12	0.7	9	
Communications	X-Band Switching Cables (x2)	1	12	1.1	9	
	X-Band Cables (x12)	3.3	12	3.7	9	
	Low Gain Antenna	0.7	12	0.8	9	
	High Gain Antenna	10.7	12	12.0	9	
	Sun Sensor (x4)	0.2	7	0.2	9	
	Star Tracker (x2)	0.3	7	0.3	8	
	IMU	0.7	7	0.7	9	
ADCS	RCS Thrusters (20N) (x4)	2.5	7	2.7	9	
	RCS Thrusters (1N) (x8)	2.6	7	2.8	9	
	Radar Altimeter	1.4	7	1.5	9	
	Main Engine	4.5	12	5.0	9	
	Fuel Tank	8.6	12	9.6	9	
Propulsion	Oxidizer Tank	11	12	12.3	9	
Propulsion	Plumbing	4.2	12	4.7	-	
	Pressurant Tank (x2)	3	12	3.4	9	
	Hydrazine	144.3	-	-	9	
	Oxidizer	83.3	_	-	9	
	Pressurant	1.1	_	_	9	
	Mass including contingencies	120.8			1 -	
Total	Dry Mass w/ 30% contingency	157.09				
	Launch Mass	404.1				

#### 2. Orbit

In order to successfully complete the remote sensing activities, the spacecraft must first reach the asteroid. 2008 EV5 is a good target because it has an orbit that is similar to Earth's, albeit at a seven degree inclination. This similarity reduces the amount of propellant required, which will save money and mass in order to meet the budget and smallsat requirements. A Hohmann transfer, chosen because it is the most efficient impulsive transfer orbit for orbits with relative sizes of that of EV5 and Earth, will be used to reach 2008 EV5 after a lunar flyby is utilized to account for the inclination change. Table 10 below highlights some important dates for the orbital profile of ALMA.

Table 10. Important dates during ALMA's orbital mission.

Launch	05/27/2024
Lunar Flyby	05/30/2024
First Hohmann Burn	05/31/2024
Second Hohmann Burn	12/03/2024
Asteroid Arrival	12/04/2024

The flyby is possible because the Minotaur V translunar injection (TLI) can put ALMA at an inclination of 14.39° to the ecliptic, while the moon is at 5.14°. Since the desired inclination is 7.4°, the Moon can be used to pull ALMA down into the correct desired inclination. Because it will occur immediately before a Hohmann transfer, the flyby will need to occur as close as possible to the Moon being in its Third Quarter phase. The launch date is somewhat flexible; more research will need to be conducted into the specific consequences of launching any number of days early or late, but at this time it is believed that the effects of leaving a day early or late will be insignificant. The desired Hohmann launch date was found to be May 30, 2024, which coincidentally is the day that the Moon is in its Third Quarter phase.

Because of the nature of the lunar flyby that ALMA will be utilizing, the moon's gravity will only be used to perform an inclination change and will not increase the in-plane velocity of

ALMA. With that information in mind, the Hohmann orbit calculations have been done assuming that no inclination change will be needed during the two necessary burns.

Using the characteristic energy for a TLI provided by the Minotaur V launch vehicle team of -1.89, the velocity before and after the lunar flyby was calculated <sup>15</sup>. The first required Hohmann burn of 1.78 km/s will be performed after ALMA has safely left the sphere of influence of the moon with the correct inclination to intercept EV5. A depiction of the lunar flyby with the relative angles can be seen below in Figure 10.

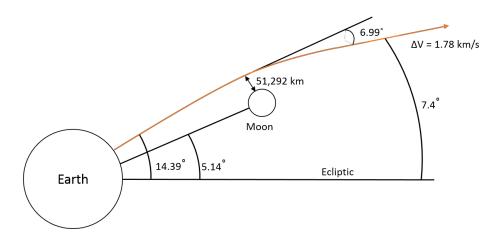


Figure 10. ALMA will utilize the Moon to match the 7.4 degree inclination of 2008 EV5.

To achieve the inclination change required, ALMA's perilune will be at a distance of 51,292 km from the center of the Moon, which is within the sphere of influence of 60,000 km. After the initial Hohmann burn, ALMA will be on an orbit to intercept 2008 EV5 in 188 days. An overview of the orbit can be seen below in Figure 11.

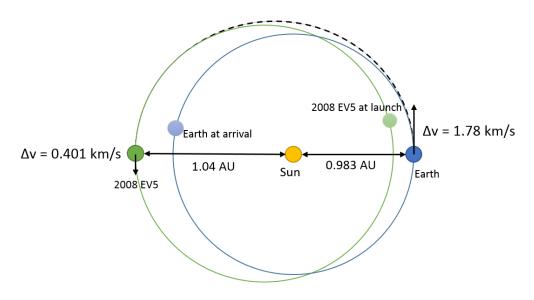


Figure 11. Overview of ALMA's efficient Hohmann transfer to rendezvous with 2008 EV5

Once in the vicinity of EV5, another burn of 0.401 km/s will match ALMA's orbit to that of EV5 and allow science investigations to begin. A table of the  $\Delta v$  requirements for the major orbital maneuvers can be found below in Table 11.

Table 11. Orbital maneuver  $\Delta v$  budget

Maneuver	Δv [km/s]		
First Hohmann Burn	1.78		
Second Hohmann Burn	0.40		
Total	2.18		

#### 3. Launch Vehicle

#### 3.1 Definition

ALMA's orbital trajectory architecture imposes strict requirements on the launch vehicle selection process as seen in Table 12. The concept of using the lunar flyby to reduce the propellant mass of the spacecraft, to achieve a smallsat classification, means the launch vehicle shall deliver ALMA to a TLI. ALMA also cannot produce any  $\Delta v$  other than what is necessary for the transfer

to 2008 EV5 without growing significantly in mass. Therefore, the spacecraft will rely on the launch vehicle energy for the lunar flyby. Any vehicle candidates shall accommodate an estimated launch mass of 400 kg based on the average of heritage interplanetary spacecraft with respect to the ALMA payload mass. The launch vehicle payload fairing must also house the spacecraft bus's volume when fully integrated without violating the two meter diameter antenna on the spacecraft's side. Besides the high energy and mass capability requirements, the launch must also occur within only a few days of the May 2024 target date based on the positions of the Earth, Moon, and 2008 EV5 in order to achieve the correct lunar flyby trajectory.

Table 12. The mission derived requirements on the launch vehicle converge the selection trade space to a reliable, high-performance vehicle reducing the risk of launch failure found in lower technology options.

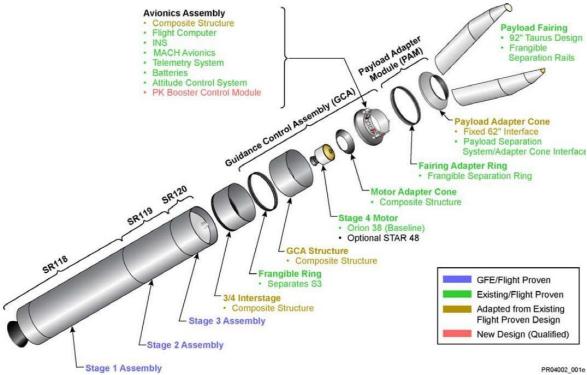
Requirements	Description	Launch Vehicle Specifications	
Final Target Orbit	Direct transfer to Lunar Flyby	Trans-Lunar Injection	
Spacecraft On-Board Propulsion	Limited propellant availability	No parking orbit	
Estimated Payload Mass	Deep space probe with smallsat classification of <500 kg	400 kg launch capability	
Key Volume Accommodation	Largest spacecraft dimension in stowed launch configuration	Payload fairing dynamic volume dimension >2m	
Launch Date Precision	Lunar cycle limits flyby opportunities	± 1 day	
Cost	\$100M mission budget	Medium performance class vehicle	

Heavy-class vehicles capable of reaching the orbital target are not within the mission budget even with ALMA utilizing a primary ride sharing opportunity such as those offered by ULA's Atlas V / Delta IV Dual Spacecraft System or external payload carrier XPC. ALMA's mass and volume are also beyond the capabilities of smaller, standard multi-payload adaptors, where the spacecraft would be a secondary payload, such as those commercially available from ULA's Atlas and Delta, Space X's Falcon 9, and Orbital ATK's Antares<sup>16</sup>. As a secondary payload on these vehicles, the issue of missing the launch date would be essentially guaranteed and the program level challenges of contracting other spacecraft payloads to be delivered on time adds risk to the mission operations. Considering the specific orbital delivery to an interplanetary trajectory,

precise time constraints for lunar and asteroid rendezvous, and the volumetric needs of a larger smallsat, ALMA should fly as a primary payload on its own launch vehicle.

#### 3.2 Minotaur V

The reliable Minotaur V has been selected to carry ALMA to the initial orbit injection. The Minotaur V is a high performance derivative of Orbital ATK's Minotaur IV rocket built to "provide a cost-effective capability to place small spacecraft into high energy trajectories," making the vehicle ideally suited for ALMA<sup>17</sup>. Adding another stage to the reliable Minotaur IV for more performance, the V is built with five solid stages based on the Peacekeeper intercontinental ballistic missile and flight proven STAR<sup>TM</sup> motors. Considered overpowered for common smallsat missions, the Minotaur V is capable of delivering 440 kg of payload to TLI. The consistent architecture across the Minotaur family, including similar avionics, structure, and payload accommodation, leverages significant heritage into the TRL 9 vehicle configuration shown in Figure 12 as well as in the appendix. Approximately 36 kg are available after ALMA is integrated for the use of smaller ride share customers, a potential cost savings of up to \$2.45M based on mass, or as an additional 9% launch mass margin policy to be determined in Phase B risk analysis.



Figures 12. The high reliability of the Minotaur V configuration is apparent from the reuse of flight proven components inherited from the successful Minotaur IV<sup>15</sup>.

The Minotaur V is uniquely capable of providing the necessary energy for a smallsat interplanetary mission while staying within the programmatic constraints of ALMA. Nominal performance figures of merit for the launch vehicle with respect to the ALMA orbital concept of operations are found below in Table 13. The Minotaur V is extremely cost effective with near escape trajectory capability for the reasonable purchase estimate of \$30M. Use of the Mid-Atlantic Regional Spaceport will ensure the best teams are available for ALMA's integration as well. This is due to the fact that Orbital ATK has baselined Wallops Flight Facility for Minotaur V launches<sup>18</sup>. These teams, who are accustomed to working within the fast-paced architecture of robotic precursor missions, will be suited to ensure minimal schedule and cost disturbances occur up to launch.

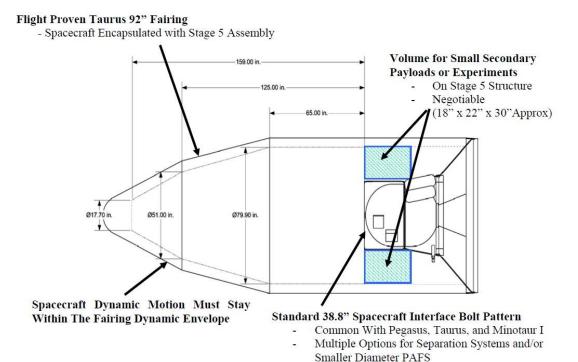
Table 13. The orbital figures of merit for the Minotaur V bound show the feasibility of the launch vehicle selection achieving ALMA mission requirements <sup>15</sup>.

Orbit Type	Launch Site	Perigee [km]	Apogee [km]	Inclination [°]	Arg. Of Perigee [°]	C3 [km2/s2]	Performance [kg]
TLI	WFF	200	408556	37.83	180	-1.89	432

#### 4. Configurations

#### **4.1 Launch Vehicle Integration**

ALMA will be integrated in the standard Minotaur V payload fairing which will accommodate the spacecraft as a primary payload as well as optional secondary ride sharing customers. Eliminating some of the available payload fairing volume, the fifth stage will interface to ALMA through the Payload Attach Fitting, an anisogrid structure which connects to the standard 803 mm diameter spacecraft interface ring on ALMA. Electrical interfaces supported by Orbital ATK will provide power and battery charging, discrete telemetry and commands, and separation indications to the spacecraft. Volume design constraints on the spacecraft bus shown in Figure 13 are moderate as the fairing is designed for small and medium class satellites and already includes negotiable volume around the fifth stage for ride shares.



Figures 13. The dyanamic volume envelope for ALMA shown within the Minotaur V payload fairing readily encapsulates the spacecraft as well as optional ridesharing payloads<sup>15</sup>.

#### 4.2 Structure

The bus of the ALMA spacecraft will be a cubic skin-frame structure, which means that an internal skeletal network will support the skin of the spacecraft. This common design will be utilized because of its low complexity meaning reduced development costs. The cubic design is easy to manufacture and allows for plenty of surface area for component mounting. The skin-frame design will support the large loads experienced during launch by carrying the axial, torsion, and bending loads in the internal frames while carrying the shear loads in the skin. Due to the need for high strength and low cost, aluminum will be used to make the internal skeletal network of the spacecraft.

Since the skin will have to support shear forces, a sandwich structure will be utilized in the skin with two layers of solid material on the outside of a honeycomb core, which can be seen in Figure 14. In order to reduce cost while maximizing the strength per unit weight, a combination

of aluminum and composites will make up the skin of ALMA. The aluminum honeycomb structure will be between two sheets of composite material, which will provide the necessary strength while reducing cost and weight. This skin will be placed on all six sides of the spacecraft bus and the external components will be mounted on the bus faces. However, the solar arrays and high gain antenna will be mounted directly to the frame to prevent failure from overloading.

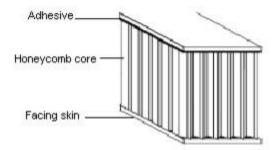


Figure 14. The sandwich skin structure where the honeycomb core is made of aluminum and the skin is made of composite, which results in a strong, lightweight, cost effective material.

To provide internal structural support and increase mounting area, two composite platforms will be mounted inside the spacecraft bus. These platforms will increase the rigidity and lateral support of the bus without adding much cost or mass. The platforms will also divide the bus into different internal compartments, which allows components of similar thermal requirements to be placed by one another.

In order to protect the onboard computer and mass memory from radiation damage an aluminum housing will be placed around the processing hardware. This housing will be made up of aluminum panels that are 2.5 mm thick. Based on Figure 15, the 2.5 mm thick housing will limit the contents to a maximum cumulative radiation dose of 10 krad, which is well below the 30 krad that the spacecraft memory can handle.

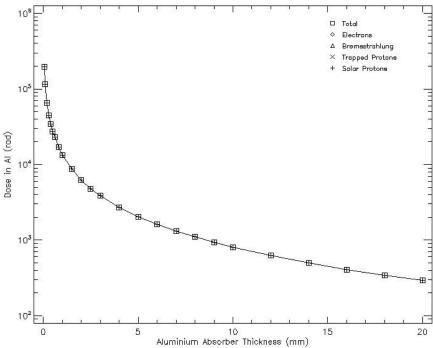


Figure 15. The absorbed radiation for a sheet of aluminum as a function of thickness, which was used to design a protective housing for the onboard computer and memory. Generated using SPENVIS.

### 4.3 Spacecraft Layout

The configuration of the various subsystem components on the bus was chosen to reduce complexity, maintain moment requirements, and ease the plug and play assembly. The only elements outside of the protective bus skin are the payload instruments, sensors, thrusters, radiator, and high gain antenna. To accommodate the large parabolic dish and maintain the launch center of mass constraints, ALMA will be integrated with the Minotaur V with the antenna pointing upwards in the fairing and the standard payload attach fitting directly opposite as seen in Figure 16. Also, the reaction control thrusters are mounted on booms to accommodate the thruster exhaust and reinforce control effectiveness. The two solar panels are on deployable arms which will rotate on a single axis and fold up in the stowed configuration. Internally, the electronic elements are mounted directly below the payload, but inside of the bus, to reduce size and complexity of the wiring harness. A large percentage of the bus volume will go to the propulsion system tanks and plumbing which, alongwith the different temperature requirements of individual subsystems,

drive locations of the intercostal support planes and divide the bus into three compartments. The main engine is the final component extending below the spacecraft.

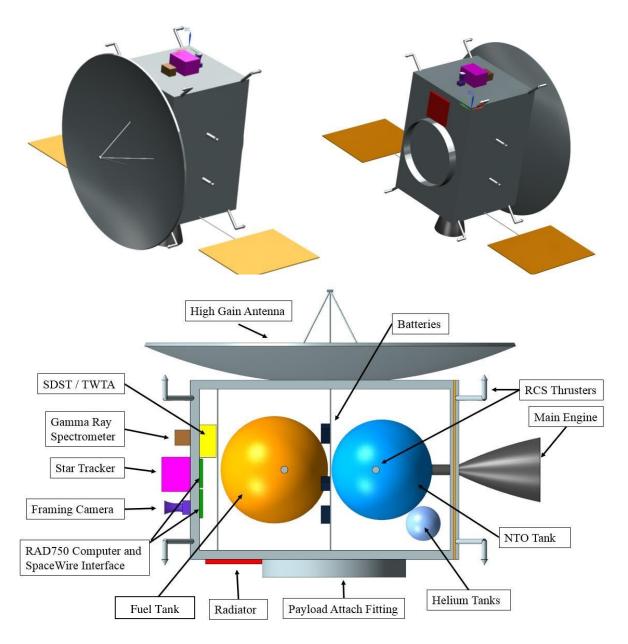


Figure 16. The ALMA spacecraft utilizes an efficient configuration to integrate the proven flight components while remaining within the volume constraints of the payload fairing.

### 5. Propulsion

Table 14. Highlights of ALMA's propulsion system

Table 14. Highlights of ALMA's propulsion system					
Total \( \Delta v \)	2.69 km/s				
Number of Burns	2				
Burn 1 Time	824.85 sec				
Burn 2 Time	128.95 sec				
Propellant Type	Bipropellant				
Fuel	Hydrazine (N <sub>2</sub> H <sub>4</sub> )				
Oxidizer	Nitrogen Tetroxide (N <sub>2</sub> O <sub>4</sub> )				
Propulsion System Dry Mass	31.30				
Mass of Propellant	228.63 kg				
Mass of Fuel	144.31 kg				
Mass of Oxidizer	83.31 kg				
Feed System	Gas Pressure				
Pressurant	Helium				
Total Cost	\$6.29 Million				

The requirements of the ALMA propulsion system and a system summary are tabulated in Table 14 above. The ALMA mission requires approximately 2.69 km/s of  $\Delta v$ , provided over two burns. The first maneuver provides 1.78 km/s of  $\Delta v$  and the second provides 0.40 km/s. An additional contingency of 0.024 km/s has been added. The full  $\Delta v$  budget is below in Table 15.

Table 15. The use of a lunar fly-by allows ALMA to keep the total  $\Delta v$  required low.

ALMA Δv Budget			
Hohmann Burn 1	1.78 km/s		
Hohmann Burn 2	0.40 km/s		
Total Hohmann	2.18 km/s		
776m Science Burn	0.027 km/s		
3 90m swath changes	0.121 km/s		
ADCS (10% Total)	0.22 km/s		
Contingency (10%)	0.024 km/s		
Total $\Delta v$	2.69 km/s		

The ALMA propulsion system will be a chemical bipropellant system. The feasibility of other propulsion types was researched, and they were determined to be inappropriate for this mission. Solar electric propulsion was one technology that was seriously considered for this mission. Solar electric propulsion uses electric power to ionize and accelerate gas at very high exit

velocities. This high exit velocity affords a very high specific impulse, which is desirable due to the stringent size and cost constraints of the ALMA mission. A high specific impulse greatly reduces the mass of propellant needed. However, in the case of solar electric propulsion, the high specific impulse comes at the cost of thrust. Solar electric propulsion offers very low thrust, on the milliNewton to Newton scale. ALMA is a precursor mission with the intent of assisting human asteroid missions, and the thrust offered by solar electric propulsion is too low to fulfill this goal, as it will increase mission duration so much that the science done by ALMA will not be useful in time for future missions.

The bipropellant system used by ALMA will use hydrazine as the fuel and nitrogen tetroxide (MON-3) as the oxidizer. A monopropellant system was considered, but the specific impulse (Isp) offered by such systems was too low. Bipropellant systems offer high thrust at relatively high specific impulse. Bipropellant systems also have extensive heritage on deep space missions. The high thrust of a bipropellant system will allow ALMA to complete its mission in a short time frame, and the high specific impulse will reduce the total mass of propellant needed. ALMA will launch with 144.3 kg of hydrazine and 83.3 kg of nitrogen tetroxide (NTO). The spacecraft has 104.1 kg of hydrazine for the Hohmann burns, including contingency; the rest of the hydrazine is available to the ADCS system, which will use the fuel to complete the necessary maneuvers to complete ALMA's science mission.

Hydrazine and NTO are desirable propellants due to their storability and heritage<sup>19</sup>. Liquid propellants stored above their boiling temperature will vaporize, which is a common problem with many propellants and oxidizers. NTO and hydrazine are space storable, and do not require expensive tanks and cryogenic or pressurant technology to prevent boil-off<sup>19</sup>. These two

propellants also have extensive heritage in interplanetary space flight. Hydrazine is compared to other common fuels in Table 16.

Hydrazine offers the highest Isp of space-storable fuels, which lowers ALMA's launch mass. Hydrazine can also be used as a monopropellant, which allows ALMA's ADCS to use the same propellant as the propulsion system, reducing storage volume and complexity. Although hydrazine and NTO are highly toxic, they have been used in the industry for years and can be handled safely with proper procedures.

Table 16. The space storability and monopropellant capabilities of hydrazine make it the best fuel choice  $^{19}$ ,  $^{20}$ ,  $^{21}$ 

Fuels	Toxicity	Density, kg/m <sup>3</sup>	Heritage	Space Storability	Monoprop Capability	Isp (s)
Hydrazine (N <sub>2</sub> H <sub>4</sub> )	High	1020	Extensive	High	Yes	333
Liquid Hydrogen	Low	70.85	Low	Low	No	386
MMH	High	880	Extensive	High	No	329
Methane (CH <sub>4</sub> )	Low	422.62	Low	Low	No	296

The ALMA propulsion subsystem will use a high expansion ratio thruster, which will perform well in the near vacuum of space, that offers medium to high thrust, and the thruster must be flight-proven with heritage on similar missions. ALMA's main thruster will be purchased from Moog - ISP. The thruster chosen is the LEROS 1b 635N bipropellant engine. This specific thruster was chosen due to its high Isp of 317 and heritage. The LEROS 1b is used on the Juno mission. The specifications of ALMA's thruster are tabulated in Table 17.

Table 17. ALMA's propulsion system thruster is reliable and robust while providing a relatively high Isp.

Propellant	Hydrazine/NTO (MON)
Thrust (Steady-state)	635 N
Inlet Pressure Range	15-20 Bar
Throughput	4170 kg
<b>Demonstrated Restarts</b>	70
Oxidizer/Fuel Ratio	0.85
Valve Power	45 Watts
Mass	4.5 kg
Isp	317 sec
Max Steady State Firing	2520 sec

The propulsion subsystem requirements dictate the use of two steady-state thruster burns, the first of which is 825 seconds, and the second of which lasts 129 seconds. ALMA's main engine is capable of up to 2520 seconds of steady state burning, so the engine will be more than capable of achieving the required burns. The thruster features fault avoiding valves, which are spring loaded to prevent the valves from getting stuck. This ensures that the main thruster valves cannot get

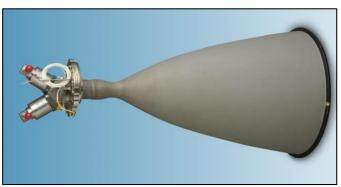


Figure 17. ALMA's main thruster built by Moog – ISP<sup>22</sup>

The ALMA propulsion system will employ a pressurized feed system to mix the fuel and oxidizer together in the combustion chamber. Pressurized feed systems are reliable and relatively

stuck.

simple<sup>19</sup>. Gas pressure feed systems use a pressurized gas to force propellants out of their tanks in a controlled manner. ALMA's feed system will use helium as the pressurant, as helium is non-reactive with both the oxidizer and fuel, and will only slightly dissolve into the propellant over the course of the mission<sup>19</sup>.

The ALMA propulsion system will utilize a plumbing system based off of bipropellant systems from similar missions. A simplified version of ALMA's propulsion system is depicted in the block diagram below. The propulsion system is composed of nine pyrovalves (PV), five standard accuracy pressure transducers (SAPT), two check valves (CV), a pressure regulator (PR), and filters (F). The system will also include multiple maintenance valves which include relief valves, fill, and drain valves.

The pyrovalves have two initial settings: normally open (NO) and normally closed (NC). ALMA will begin its mission with all pyrovalves in their default state, which will depend on their function. Once separation from the launch vehicle is achieved, the normally closed pyrovalves will open to allow fluid flow. This will allow the ADCS subsystem to conduct its initial control burn and for hydrazine and NTO to flow to the liquid apogee engine (LAE). In the event of a failure within the system, the normally open pyro valves can be closed to prevent flow<sup>23,24</sup>.

The system check valves prevent fluid from flowing backwards toward the helium tanks. Check valves only allow for flow in one direction, and their burst pressure is far above the operating pressure of the propulsion system. The pressure transducers monitor the fluid pressure within the system at five key points, using the piezoresistive effect, to ensure fluids are at the correct pressure. The system filters ensure that there are no contaminants in the fluid stream. ALMA will also carry multiple fill and drain valves for pre-launch tank filling, and relief valves to correct any potential pressure anomalies<sup>27</sup>.

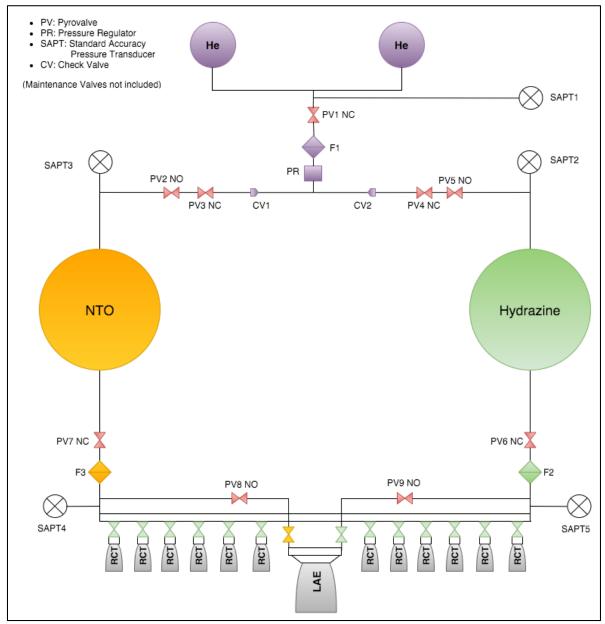


Figure 18. ALMA's propulsion system diagram which is based off of existing, successful bipropellant systems<sup>25</sup>

ALMA will use spherical tanks to contain the fuel, oxidizer, and pressurizing gas. Spherical tanks minimize the dry mass of the propulsion system due to their minimal surface area. Both the fuel and the oxidizer tank will be made of grade IV annealed titanium. This is the vendor recommended metal for storing both the fuel and the oxidizer – titanium will resist corrosion and is strong enough to store the propellants at high pressure<sup>26</sup>. The NTO, hydrazine, and helium tanks

will be purchased from Orbital ATK. Orbital ATK was chosen as the tank vendor due to their extensive heritage and available selection of tanks that meet ALMA's specifications. The helium tank will be bought directly from Orbital ATK, while the fuel and oxidizer tanks will be custom made to minimize the dry mass of the spacecraft and fit volume constraints. The tank propellant management devices are shown above in Figure 19.



Figure 19. ALMA's tanks use an all titanium PMD. The PMD uses simple vane technology to allow propellants to flow "uphill" against the acceleration of the spacecraft, ensuring continuous flow of propellant<sup>29</sup>.

Table 18. ALMA uses TRL 9 parts with extensive heritage. This ensures low risk and cost<sup>23,24,27</sup>.

Part	Manufacturer	Heritage	TRL
Main Engine	Moog ISP	Juno	9
Tanks	Orbital ATK	Extensive	9
SAPT	Moog Bradford	Galileo IOV, GB2, etc	9
Pyrovalve	Airbus	Extensive	9
Check Valve	Airbus	Extensive	9
Thruster Valve	Moog ISP	Juno	9

ALMA's propulsion system is low risk; all parts in the system are TRL 9, and the system has been designed to maximize fault tolerance and avoidance. All of ALMA's parts have been tested rigorously and extensively, which makes component failure very unlikely. The plumbing

and tanks of the system are leak-proof to pressures far higher than the operating pressures of this mission. The subsystem parts and their respective manufacturers are tabulated above in Table 18. The greatest potential risks to ALMA's propulsion system are in Table 19.

Table 19. ALMA is a low risk mission with potential failures addressed<sup>26</sup>.

Failure	Corrective Action	Mission Effect	Likelihood
Main Engine Failure	None	Failure	Low
Loss of flow control	Close pyrovalve	Increased duration	Medium to Low
Loss of SAPT signal	Operate on data from other transducers	Minimal	Medium

The ALMA propulsion subsystem will cost approximately \$2.3 million. This calculation is based on the dry mass of the propulsion system, the cost of the propellants, and the main engine. All subsystem components are TRL 9, which contributes to the propulsion subsystem's low cost. The market prices of propellants fluctuate, and they will not be purchased until shortly before launch. Therefore, 10% contingency was added to cover that cost.

Table 20. ALMA uses TRL 9 technology to keep the final cost of the propulsion system low<sup>30</sup>.

Part	Cost
Theoretical First Unit	\$1,525,265
Main Engine	\$687,310
Contingency (10%)	\$221,294
Total	\$2,434,229

### 6. Attitude Determination and Control System

Table 21. Attitude Determination and Control System Requirements and Goals

Requirements and Goals	Source
Shall meet payload pointing requirement of ±0.5 degrees	Science Payload
Shall maintain spacecraft stability at all times	Derived from Measurement Objectives
Shall perform station keeping maneuvers during science investigation	Derived from Measurement Objectives

The attitude determination and control system (ADCS) must be able to meet the pointing requirements of the science payload and other subsystems during operation, maintain spacecraft stability, and perform station keeping maneuvers during the science investigation. These requirements are driven by the payload pointing requirements and general spacecraft heritage as seen in Table 21 above. Table 22, shown below, compares several methods of attitude control that were considered for ALMA.

Table 22. Several methods of attitude control were compared to determine the feasible options for the

requirements defined by the subsystems<sup>48</sup>.

Type	Typical Accuracy	Attitude Maneuverability
Spin Stabilization	HILL DEC TO +1 DEC IN / 2VEC	Use precision maneuvers to repoint, such as torquers or thrusters
Bias Momentum (1 wheel)	HD I deato + I dea	Fast maneuvers around momentum vector Can repoint using precision maneuvers
Zero Momentum (Thruster Only)	±0.1 deg to ±5 deg	No constraints. Can achieve high spin rates.
	$\pm 0.0001$ deg to $\pm 1$ deg, as determined by sensors and processor	No constraints.

Due to the precision required by the science payload and the necessary orientation of the solar panels, ALMA will use a three-axis stabilized, zero momentum attitude control method with reaction control system (RCS) thrusters. The accuracy of this method will also benefit the other subsystems, such as power and propulsion, for which precise pointing will increase performance. Reaction wheels were also considered attitude control due to the increased accuracy, but the added mass and power requirements of such a system were deemed to be too high. The ADCS

instruments that will be implemented are outlined below in Table 23. All of the components shown below have proven flight heritage on similar mission types.

Table 23. The following instruments of attitude determination and control will meet the pointing requirements

of all other subsystems and help ensure mission success.

Function	Component	Use	Quantity
Attitude Determination  Star Tracker  Uses relative positions of specific stars to determine attitude and position of spacecraft  Framing Camera  Visually determines spacecraft position and orientation compared to object in view  Radar Altimeter  IMU  Determines distance between spacecraft an asteroid  Measures acceleration and angular rate of spacecraft		Determine orientation based on position of Sun	4
		2	
			1
		-	1
		e e e e e e e e e e e e e e e e e e e	1
Attitude Control	RCS Thrusters	Provides directional thrust to change attitude	12

Star trackers will be the primary instruments used to determine the orientation and position of ALMA, as well as when to initiate orbital maneuvers. ALMA uses two star trackers so that the maximum possible accuracy is achieved on all three axes. The accuracy of the star trackers combined with the redundancy of the sun sensors makes using both an attractive option. Sun sensors will be used to ensure proper orientation during transit and while on-station. They are lightweight, low-cost, and provide redundancy to the star trackers. The ALMA Framing Camera will be used to obtain visual confirmation of 2008 EV5, which will assist in attitude determination and control during target approach and the science investigation. A radar altimeter will assist in maintaining the correct distance between the asteroid and spacecraft. The inertial measurement unit (IMU) will determine when the main engine and RCS thrusters have been fired for enough time, as well as how much the spacecraft has rotated in this time. RCS thrusters will be the sole method of active attitude control; they will be used to achieve stability after launch, as well as for maintaining stability during transit. During the science investigation, the thrusters will be used for

repositioning and other station keeping so that all necessary data is collected. Four 20 N thrusters will be available for large repositioning maneuvers and eight 1 N thrusters will be used for small maneuvers, but all RCS thrusters will be utilized for basic attitude control. The planned configuration is shown below. This configuration will provide full, 3-axis attitude control. The possibility of thruster exhaust making contact with the antenna has been considered and the risk will be primarily reduced by mounting the thrusters on small booms in order to extend them past the edge of the antenna. The risk will be further reduced by shielding the antenna where necessary.

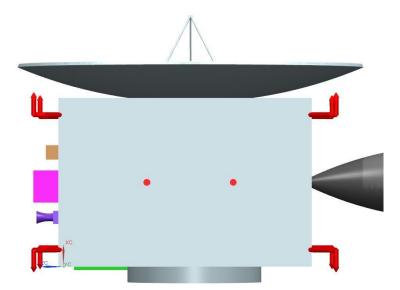


Figure 19. The two central thrusters (seen as red dots) depict the 20 N thrusters, while the red thrusters on each corner depict the 1 N thrusters. This configuration provides full three-axis control.

A malfunction of the ADCS components would lead to problems with other subsystems due to a failure to meet pointing requirements. In order to mitigate the risk of losing attitude control, the TRL and heritage of each part have been major factors in the selection process. Proven heritage decreases the chance of failure when compared to a newly developed product. This will help ensure mission success.

### **6.1** Instrumentation

When determining which RCS thrusters to use, the major considerations were mass, thrust, Isp, power consumption, and TRL. Mass and power were particularly important because of the limited budget and mass constraints of the mission. Each thruster type uses hydrazine fuel, which allows for easier integration with the main ALMA propulsion system because it will allow the storage of only one propellant. The power consumption values shown below include the catalyst bed heater power, valve heater power, and valve power. Table 24 shows the traits of the 20 N thrusters and Table 25 shows the 1 N thrusters.

Table 24. The Aerojet MR-106E provides the best balance between the desired traits for a 20N thruster to best fit the mission requirements<sup>31, 32</sup>.

Thruster	Mass [kg]	Thrust [N]	Isp [sec]	Power [W]	TRL
Aerojet MR-106E	0.635	11.6-30.7	229-235	35.1	9
Aerojet MR-106L	0.590	10-34	229-235	41.7	8
Airbus	0.650	7.9-24.6	222-230	-	9

The MR-106E thruster provides more thrust and Isp than the Airbus thruster while weighing less. It has been chosen over the MR-106L because of the reduced power consumption and higher TRL.

Table 25. The Aerojet MR-103D provides the best balance between the desired traits for a 1 N thruster to best fit the mission requirements $^{31,33}$ .

Thruster	Mass [kg]	Thrust [N]	Isp [sec]	Power [W]	TRL
Aerojet MR-103D	0.330	0.22-1.02	209-224	13.72	9
Aerojet MR-103G	0.330	0.19-1.13	202-224	14.57	9
MOOG MONARC-1	0.380	1.00	227.5	>18.0	9

Both Aerojet thrusters have less mass and use less power than the MONARC-1. They have very similar thrust and Isp ranges. The MR-103D was selected for ALMA because it uses less power than the MR-103G. This is important because eight thrusters will be used. The effect these thrusters have on the spacecraft will be measured by an IMU. Table 26 below shows several different IMUs that were compared for this mission.

Table 26. The Northrop LN-200S is the best IMU for the mission because of its low mass and power requirements<sup>34, 35, 36</sup>.

IMU	Mass [kg]	Power [W]	Range [deg/sec]	Bias [deg/hr]	Angle Random Walk [deg-rt-hr]	Scale Factor [ppm]	Operating Temperature [°C]
Northrop LN- 200S	0.748	12	1000	<0.1	< 0.07	300	-54 – 71
Honeywell MIMU	4.44	22	375	< 0.005	< 0.005	<1	-30 – 65
Airbus ASTRIX 200	13	6.5 per ON channel	5	<0.0005	<0.0002	30	-10 – 50

All of the IMUs considered were deemed accurate enough to achieve the science pointing requirements, but the LN-200S has considerably less mass and a larger operating temperature range than the other options. It also does not require as much power as the Honeywell MIMU. The input range of the LN-200S will reduce the chance that the spacecraft will be in a situation where the IMU cannot determine the angular rate or acceleration. The LN-200S is less accurate than the other options, but meets the mission pointing requirements. The Northrop LN-200S is the best choice for ALMA because of its low mass and power consumption, as well as its high input and operating temperature ranges.

Table 27. The Sinclair ST-16RT is the best star tracker for the mission because of its low mass and power requirements  $^{37,38,39,40}$ .

Star Tracker	Mass [kg]	Power [W]	Bore-sight accuracy [arcsec]	Roll axis accuracy [arcsec]	Radiation Tolerance [krad]
Sinclair ST-16RT	0.158	1	<4	<30	9
BCT Nano Tracker	1.3	1	<6	<40	-
Surrey Procyon	1.2	6.5	<5	<30	5
Surrey Rigel-L	1.2	6.5	<3	<25	5

The Sinclair ST-16RT is the best choice for ALMA because of its low mass and power requirements. All of the examined star trackers, shown above in Table 27, fall within the required pointing accuracies, but the ST-16RT is the best rated with regards to radiation shielding. This will lower the risk of failure during the mission, as well as reduce the length of time needed for

development and testing by the spacecraft build team. The ST-16RT is currently a TRL 8, but final development and testing by the manufacturer will bring it up to TRL 9 before ALMA enters development. Even if the component is TRL 8 at the time of ALMA development, the part will still be desirable since it is based on TRL 9 technology.

Table 28. The SolarMEMS SSOC-D60 is the best sun sensor for the mission because of its proven flight heritage

and wide range of operating temperatures 41, 42, 43, 44, 45.

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Sun Sensor	Туре	Mass [kg]	Power [W]	Accuracy [°]	Radiation Tolerance [krad]	Operating Temp. [°C]	Number of Axes	TRL
SolarMEMS SSOC-D60	Digital	0.035	0.35	<0.3	30	-45 to 85	2	9
New Space Systems Fine Sensor	Digital	0.035	0.13	<0.2	10	-25 to 50	2	9
New Space Systems Cubesat Sun Sensor	Analog	0.005	0.05	<0.5	-	-25 to 50	1	9
SolarMEMS nanoSSOC- A60	Analog	0.004	0.01	<0.5	>100	-30 to 85	2	8
SolarMEMS nanoSSOC- D60	Digital	0.007	0.115	<0.5	30	-30 to 85	2	8

The SolarMEMS SSOC-D60 is the best sun sensor for the ALMA spacecraft. Its accuracy of 0.3 degrees will fulfill the requirements of several other subsystems, including communications and the science payload. It has a wider range of operating temperatures than the other options, which will reduce the strain on the thermal subsystem, as well as a higher radiation tolerance. The SSOC-D60 has been chosen over its nano-SSOC counterparts because those versions are TRL 8, while TRL 9 components are preferred. Using a product that already has proven flight heritage will allow the ALMA team to save time and money on development and testing. It also eliminates the risk related to using an unproven component. The mass and power characteristics of the sun sensors were given less weight during the decision process because they all fell within the allocated budgets. The decision was made to prioritize accuracy and environmental survivability,

such as temperature and radiation tolerance, to ensure that the sensors will be as accurate as possible under any expected conditions.

The final ADCS component is a radar altimeter, which will be used to determine ALMA's distance from EV5. A Light Detection and Ranging (LIDAR) sensor was also considered for this role, but the added cost, power, and thermal requirements were deemed to be too costly for this mission. The key factor in determining which radar altimeter to use was the altitude range. ALMA needs to detect when it is 1276 m away and 500 m away from the asteroid. Due to the large required altitude range, and following the low mass and power requirements, the Honeywell HG8500 Series Radar Altimeter was selected. The key specifications are shown below in Table 29. This particular model will require radiation hardening, such as a conformal coating. A version of this altimeter (HG8505DA) was used on the Pathfinder mission during the entry, descent, and landing phase 46. This heritage further demonstrates the appropriateness of this component.

Table 29. Specifications of Honeywell HG8500 Series Radar Altimeter 47

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Specification	Value			
Altitude Range	0 to 2.44 km			
Input Power	16 W			
Mass	1.36 kg			
Accuracy	±(1 m + 1%)			

### **6.2 Delta V Budget**

The  $\Delta v$  required for sufficient ADCS design was derived from the science requirements of the mission. For an effective science investigation, the spacecraft needs to move positions around the asteroid so that different swaths can be examined. The distance traveled, and the desired  $\Delta v$  required to do so, were the driving factors in these calculations. A low  $\Delta v$  was desired to prevent adding more stress to the science instruments while they were in use, possibly requiring recalibrations. From these driving factors, the following  $\Delta v$  budget was determined and shown in Table 30.

Table 30. ALMA is prepared to make several maneuvers in order to support the science investigation, as well

as correct any instability during the mission.

Maneuver	Δv Required [m/s]
776m Altitude Change	26.71
3 90m Swath Changes	12.17
Station keeping	222.02
Total	260.9

In order to estimate how long each position change would take, and the propellant required, the forward Euler method was used to numerically integrate the velocity and position with a  $\Delta t$  of 0.01 seconds. A constant force was assumed for the first half of each maneuver and then the force direction was reversed for the second half, until the desired position change is achieved. From this, a max velocity was found. The max velocity was doubled to obtain the total  $\Delta v$  for the maneuver. The total time for each maneuver is shown below in Table 31.

Table 31. Estimated time elapsed during science maneuvers.

Maneuver	Elapsed Time [sec]	
776m Altitude Change	115.89	
90m Swath Change	177.41	

### 7. Command and Data Handling

#### 7.1 Architecture

All command functions and data will be handled by the on-board processing subsystem whose design is driven by the science data needs and mission architecture requirements in Table 32. The subsystem shall handle the high payload science data collection rate that must be returned to Earth. To maintain feasible communication downlink rates, the maps of the asteroid will be compressed by a factor of 10 into JPEG format and fused with the spectral data for storage and later transmission. In addition to science data processing, ALMA's Command and Data Handling (CDH) subsystem shall manage the spacecraft's decisions and actions for guidance, navigation, and control as well as any commands to the other powered subsystems to maintain spacecraft health.

Table 32. Derived processing requirements for the CDH subsystem flow down from mission level requirements and reinforce the feasibility of the data prioritizing mission.

Requirement	Description	Implication to On-Board Processing
Performance	• Process, store, and transmit 8.78 GB of science data flowing at 2.2 Mbps	<ul><li> High speed interfaces</li><li> Large data storage volume</li></ul>
Data content	<ul><li> High resolution images and spectral data</li><li> Engineering housekeeping data</li></ul>	<ul><li> JPEG compression capability</li><li> Multiple subsystem control cards</li></ul>
Risk	High probability of success	Autonomy must be tested and capable of upgrades
Design Life	1 year in space environment	<ul><li> Single string connections</li><li> Reasonable interplanetary radiation tolerance</li></ul>

The principal architecture trades for computation handling decided the amount of on-board storage and processing, as well as whether a central or distributed processing topology is more appropriate. ALMA implements a space-based data processing system with significant on-board storage due to limited downlinking availability. A day-staff-only level of automation will ensure all science data can be handled with high recovery percentages. The automation level concept is defined for nominal operations to be automated with a small staff available for anomalous situations. This high capability removes any command uplink latency issues common to interplanetary spacecraft and minimizes ground operations equating to risk and cost reduction. Based on the data flow in Figure 20, ALMA's computer will prioritize processing sequencing in the autonomous decision making process.

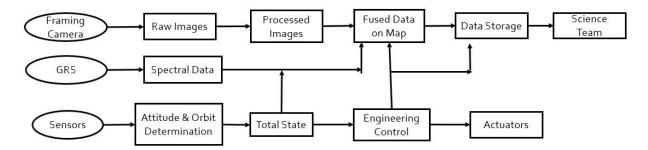


Figure 20. The ALMA data flow diagram demonstrates the efficient handling of data from the sources, where processing occurs, and where the final data is used. The goal of the CDH subsystem is to move the needed information from the payload to the science team with speed and at minimum cost and risk.

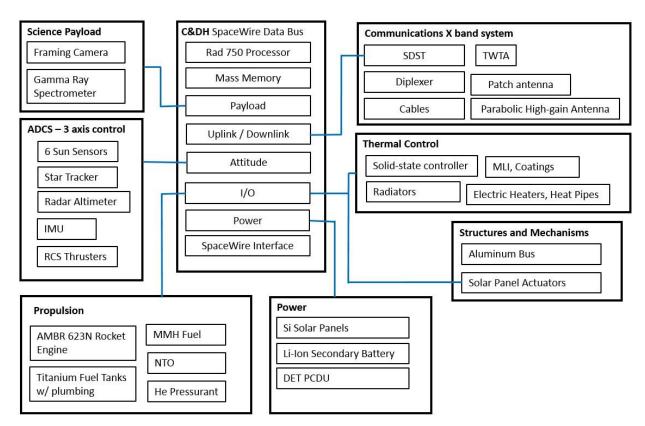


Figure 21. The ALMA spacecraft block diagram is based on proven remote-sensing mission design with an extremely capable CDH subsystem controlling all spacecraft functions through high speed interfaces.

Following the low cost mission architecture with moderate risk tolerance, the CDH subsystem will utilize a centralized topology detailed in Figure 21 with a Central Electronic Unit (CEU) handling the command routines to be executed, as well as the processing of collected data, following the architecture of other asteroid space probes such as Dawn and Hayabusa 2. Since

attitude, payload, and communications functions rarely occur simultaneously in the near-asteroid operations, the central computer can handle the functions grouped on the primary CEU computer. The high reliability of the central architecture works well with the few powered subsystems whose interfaces will be single string, and will focus on reliability over redundancy in order to reduce the distributed wiring harness complexity and subsystem component cost.

### 7.2 Flight software

Flight software for ALMA will be common to other asteroid remote-sensing and interplanetary observation missions in order to reduce software development and testing during verification. ALMA's code will distribute and manage onboard computational resources and memory, sequence command and data flows, perform command execution validation, be able to support three weeks of command sequences without uplink, and gather subsystem health reports. Following heritage asteroid projects, ALMA will assume 80% of the code must be retested through simulation to reduce risks inherent to automation code<sup>49</sup>. The considerable software development costs were estimated from the unadjusted basic COCOMO 81 Development Effort Equation<sup>48</sup>. Software costs are approximately \$1.94M. The flight software's many functions are evident in the estimated source lines of code in Table 33 which has been tailored to the mission architecture. Included in the estimated SLOC is a standard required post-launch reserve of 100% spare capability, factored into the throughput requirement, which is used for preliminary capability requirements.

Table 33. The nominal performance SLOCs have been adjusted to improve ALMA's reliability and ensure the subsystem is robust to data and command faults. In particular, the communications code accounts for telemetry compression, the ADCS accounts for the short but complex mission operations, and the fault detection is tied to the high level of autonomy<sup>48</sup>.

<b>Software Components</b>	SLOC	comments	
Executive	1000	Manages states and function group transitions	
Communications	4500	Interprets commands and delivers final data	
Command Processing	1000	Req.	
Telemetry Processing w/ compression	3500	IDEC	
Attitude/Orbit Sensor	3300	JPEG compression of science maps	
Processing	1700	Handles sensor input/output and errors	
Rate Gyro	800	Req.	
Sun Sensor	500	Req.	
Star Tracker w/out star ID	400	Req.	
ADCS	21300	GNC software	
Kinematic Integration	2000	gyro rate integration estimation of attitude	
Kalman Filter	8000	filter applied in control loop	
Error Determination	800	determine spacecraft orientation's inaccuracy	
Ephemeris Propagation	2000	minimized for short mission duration	
Orbit Propagation Complex	8500	interplanetary trajectory model	
<b>Attitude Actuator Processing</b>	900	thruster control	
Fault Detection	15500	identifies on-board equipment failures	
Monitor	8000	based on autonomy level	
Fault Identification	2500	Req.	
Fault Correction	5000	Req.	
Utilities	7250	Nominal values	
Basic math	800	Req.	
Transcendental math	1500	Req.	
Matrix math	1750	Req.	
Time management	700	Req.	
Coordinate Conversion	2500	Req.	
Other Functions	5000	Nominal values	
Momentum Management	3000	Req.	
Power Management	1200	supports CDH functions	
Thermal Control	800	supports CDH functions	
Estimated SLOC	57150		
Contingency	57150	post-launch reserve	
RTOS	1000	real time operating system	
TOTAL	115300		

### 7.3 CDH Subsystem Components

The ALMA CEU contains all of the spacecraft subsystem controllers and is capable of autonomously commanding spacecraft functions, executing commands sent from Earth, handling all science and engineering data, and dealing with inputs and outputs directly to other subsystems. Figures of merit traded during selection of the primary computer included cost, reliability, and capability. Space-qualified computers for interplanetary missions are more expensive than COTS components used in typical LEO smallsats, must be robust to radiation exposure and single event upsets, and must be able to perform complex command operations. An analytical hierarchal weighting of the figures of merit accounts for the central architecture and multiple subsystem responsibilities in Table 34.

Table 34. The analytical hierarchy process justifies the selection of a CEU compute with proven reliability based on heritage and performance success.

	Cost	Reliability	Capability
Cost	1.00	0.14	0.20
Reliability	7.00	1.00	3.00
Capability	5.00	0.33	1.00

Normalized Attribute Weights			
0.07			
0.64			
0.28			

Note that the ALMA figure of merit priorities for the flight computer ensure the subsystem will be robust to catastrophes regardless of the low budget. The capability requirement was derived from the percentages of estimated SLOC for the software-driving ADCS and communications type instructions, 37% and 8% of total SLOC respectively, and robust clock speeds for the ability to hand shake with payload instruments. The throughput for a speed of 132 MHz requires approximately 100 Million Instructions per Second (MIPS). From Figure 22, the RAD 750 processor can be seen to exceed this performance requirement and is a flight proven processor with extensive reliability heritage and radiation hardening. Ideally suited to ALMA's interplanetary

mission architecture requirements, this COTS processor's non-trivial cost is mitigated due to its flexible form factor, capability, and ease of integration.

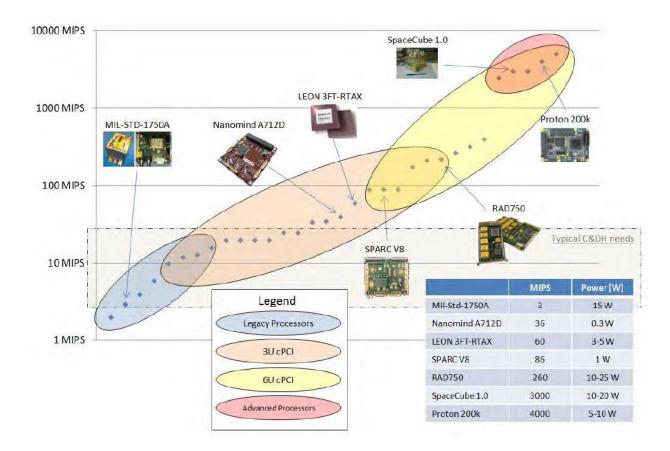


Figure 22. The required power [W] versus the processor performance [MIPS] shows the exponential nature space processors capability. The RAD 750 lies in the higher end of the performance, meeting the required capabilities of the CDH subsystem, and comes in scalable 3U and 6U form factors<sup>50</sup>.

The CDH subystem minimizes development risk and nonrecurring cost through heritage components. The payload, ADCS, communications, and CDH data processing and flight software are run by a BAE "radiation-tolerant" RAD 750 processor. A "rad-hard" version is available but unnecessary considering ALMA's mission duration of one year and limited budget. ALMA's Rad 750 is a 32-bit single board computer that will be housed in an industry standard 3U Compact PCI (CPCI) form factor for availability, scalability, and reduced mass and complexity. The RAD 750

will be integrated with the 3U-160 CPCI SpaceWire interface board with both component's specifications in Table 35 and is visible in Figure 23. SpaceWire interfaces, standard for high speed data rates of less than 160 MBps, will ensure the accommodation of science data flow and engineering command sequencing<sup>50</sup>. The interface board offers additional input/output control and computational resources for the CDH subsystem should the RAD 750 have multiple functions running. The SpaceWire SPA-S data bus will easily handle all interfaces to sensors, actuators, and instruments through reliable plug and play (PnP) connections, though descope to a MIL-STD-1533 data bus is readily available. The modular PnP approach reduces complexity of the central topology in the data harness and simplifies integration and assembly of any COTS parts on the spacecraft.

Table 35. Details of the two CDH computing components show the standard COTS parts are resistant to radiation and accommodate built-in memory reducing the risk of failure in the critical CDH system<sup>51, 52</sup>.

Specification Single-Board Computer		SpaceWire interface board	
Form Factor	CompactPCI 3U	CompactPCI 3U	
Memory	128 MB SDRAM, 256 kB SUROM	8 MB SRAM, 256 kB EEPROM	
Radiation Hardness	- Total dose: >100 Krad - SEU: 1.9E-4 error/card-day - Latchup immune	- Total dose: >100 Krad - SEU: 8E-4 error/card-day - Latchup immune	
Performance	>260 Dhrystone 2.1 MIPS @132 MHz	4 SpaceWire link @ 264 MHz max,	
<b>Power supply</b> 3.3V, 2.5V generated on card		3.3V, 2.5V generated on card	
Power dissipation <10.8 W		<5 W	
Temperature range	- 55°C to +70°C	- 55°C to +70°C	

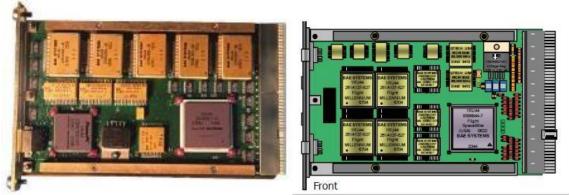


Figure 23. BAE's RAD 750 single board computer (left) and SpaceWire interface board (right) are in a standard 3U form factor allowing the powerful computational elements to be easily integrated on  $ALMA^{51,52}$ .

CEU components including the payload, I/O, uplink/downlink, attitude, and power cards are connected by a backplane and linked to the main processor. An additional 14.53 GB of mass memory, besides the 136 MB of SRAM on the processor and interface board, holds a 50% contingency on science and engineering data requirements and will be accessible by the CEU. Consisting of cost-effective Electrically Erasable Programmable Read-Only Memory (EEPROM) flash memory for storing the flight software, command sequences, and science data, the non-volatile mass memory will retain data in case of a power outage during science operations.

The high reliability required of the CDH system in the central architecture is answered by the subsystem's components' robustness to data volume and speed as well as the use of radiation hardening techniques. The risk of single event upsets, the largest radiation-related danger in the interplanetary conops, is mitigated through the heritage of the BAE electronics. An aluminum housing structure for the electronic elements will ensure the total ionizing dose remains below 30 krads, the typical failure amount for the COTS flash memory. The SRAM and RAD 750 components have much higher radiation tolerance, minimizing radiation-related risk during the operational lifecycle.

#### 8. Telecommunications

## 8.1 Architecture Feasibility

As an interplanetary science mission, ALMA will communicate with Earth through the Deep Space Network and follow the Consultative Committee for Space Data Systems (CCSDS) recommendations on telemetry delivery for a communications architecture robust to data loss. A store and forward approach for science data return will be used, with ALMA transmitting one hour per week to the DSN. Science data volume and the contact availability set the subsystem's required downlink data rate which was input into the link budget analysis in Table 36. ALMA supports an X-band frequency link, which allows for data rates 13.5 times faster than S-band, to be compatible with the DSN and was chosen to accommodate downlink data rates over the worst case distance of one AU. ALMA will link to the 34-meter Beam Wave Guide (BWG) ground station antennas because of their proven capabilities, lower operations cost, and higher availability compared to other DSN antennas. All uplink commands and downlink science data, telemetry, and tracking will be passed through these DSN antennas, so ALMA requires a high gain system of reliable components designed for maximum data delivery to the 34-meter BWG. Specifications of the telemetry, tracking, and command subsystem were iterated through the link analysis to achieve a required link margin of 5 dB with spacecraft antenna size, RF power, and data rate being the primary design parameters.

Table 36. Figures of merit used in preliminary subsystem design are bolded in the downlink analysis and highlight the robustness of the telecommunications link for high-speed data rates and strong tracking abilities.

abilities.	X-Band Uplink	X-Band Downlink	Comments
Max link distance [AU]	1	1	worst case orbital distance
Signal frequency [GHz]	7.2	8.45	X-band DSN allocations
Data rate [kbps]	10	375	Uplink: minimal standard Downlink: design constraint
Transmit	DSN BWG Antennas	Spacecraft HGA	
Antenna Diameter [m]	34.00	2.00	design parameter
Pointing Accuracy [°]	n/a	0.30	ADCS capability
Antenna beamwidth [°]	n/a	1.24	$\theta = 21 / (\text{freq(Ghz)} * D(m))$
Power [W]	20000.00	80.00	power budget constraint
Antenna gain [dB]	68.30	43.41	$G = \eta *\pi^2 *D^2/\lambda^2$
Antenna efficiency	n/a	0.70	assumed
Line loses [dB]	-11.81	-2.00	assumed
EIRP [dBW]	99.50	60.44	EIRP=Pt*Gt*Lt
Losses	DSN BWG Antennas	Spacecraft HGA	
Pointing [dB]	-3.00	-0.70	$L\theta = -12(offset/bandwidth)^2$
Space [dB]	-279.11	-274.48	Ls = $(\lambda / (4* \pi *d)^2)$
Atm. Attenuation [dB]	-0.20	-0.40	assume 90% availability
Implementation [dB]	-2.00	-1.00	assume
Total Loss [dB]	-284.31	-278.58	
Receive	Spacecraft HGA	DSN BWG Antennas	
Antenna diameter, m	2.00	34.00	
Antenna efficiency	0.60	n/a	Assumed
G/T, dB/K	n/a	53.90	Given Smad
Line losses [dB]	-2.00	n/a	Assumed
Antenna gain [dB]	42.02	78.52	G/T = Gr-T
Power, dB	-142.79	-133.51	Pr = Pt*Gt*Gr*Ls
Energy per bit dB	-182.79	-191.35	Eb = Pr(W) / R(b/s)
System noise temp, K	50.00	290.00	DSN link handbook
Noise density dB	-211.61	-203.98	No = K*Ts
Received Eb/N0 [dB]	28.82	12.62	
Required Eb/N0 [dB]]	10.00	7.60	QPSK modulation
Link margin [dB]	18.82	5.02	5 dB downlink requirement

The link analysis shows ALMA's prioritization for payload data delivery, but the Telemetry, Tracking, and Commanding (TTC) system will also provide highly accurate ranging information and general engineering data for the operations team on the ground. Minimal radio signals will be sent during the Hohmann transfer; likely, monthly uplinks and checkouts with Doppler tracking will verify the orbital trajectory in order to reduce the DSN costs. Budgeting for the use of the BWG antennas was done using the DSN hourly Aperture Fee (AF) equation and determining the amount of time necessary for data transmission<sup>48</sup>. At an AF of \$1,144.23 per hour, ALMA will require just over \$22K for TTC, radio science, and engineering support services<sup>53</sup>.

## **8.2 Functions and Components**

The telecommunications subsystem parts were chosen for reliability and mission heritage to meet the functional requirements of deep space communications, ranging navigation, and gravimetric science. Comprised of largely government-off-the-shelf (GOTS) components, this critical subsystem has low technical and cost risk. To achieve the considerable downlink rate of 375 kbps determined by science conops, a High-Gain Antenna (HGA) was sized to two meters in diameter. Despite being the largest part on the spacecraft bus and needing to be custom manufactured, the non-gimbaled parabolic reflector antenna has high technology readiness and scalability. Since ALMA can achieve a high pointing accuracy, and does not require data collection during downlink, the HGA can be fixed to the spacecraft bus to reduce mechanical complexity. A redundant patch antenna, mounted opposite of the HGA for increased communications coverage, shall also receive the uplink commands at a standard rate of 10 kbps and reduce link loss risk for minimal cost.

The primary components of the communications system, however, are the Small Deep Space Transponder (SDST) and Traveling Wave Tube Amplifier (TWTA). The SDST is the interface between the telecommunications and CDH subsystems and creates the signals carrying the science and housekeeping data to be sent to Earth. As the typical transponder for interplanetary space missions, the SDST incorporates the technical capabilities of the Cassini Deep Space Transponder with 70% fewer parts, 60% less mass, and 45% less cost. It has mission heritage from Deep Space 1, the Mars rovers, Dawn, and many other missions<sup>50</sup>. The TWTA with an electronic power conditioner amplifies the signals given by the SDST to the required radio frequency output power of 80 W, a capability beyond the solid-state power amplifiers (SSPA) commonly found in near earth missions. All signals handled by the telecommunications subsystem pass through the common diplexer which isolates transmit and receive paths as depicted in Figure 24.

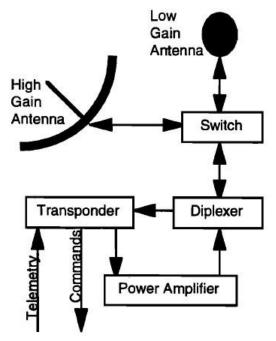


Figure 24. The communications subsystem block diagram shows ALMA minimizes the number of components for reduced complexity and mass while selecting high-quality parts to make sure the science architecture is robust to link loss<sup>54</sup>.

#### **8.3 Safe Mode Communications**

ALMA's communications link design approach targets the risk of science data loss. Since telecommunications link loss is a risk factor for any deep space mission, ALMA will implement a safe mode during anomalous operations to reestablish a connection with Earth. A minimal uplink and downlink rate of 10 bps requiring 1 W of power will allow either the high or low gain antennas to achieve connection with Earth since the CDH will direct the ADCS to orient the spacecraft to achieve nominal attitudes. ALMA's autonomous functions shall maintain the safe mode operations in the near-asteroid station until the next available DSN coverage opportunity to ensure spacecraft tracking recapture.

### 9. Thermal

## 9.1 Constraints and Requirements

The primary objective of the thermal control system is to establish and maintain a suitable thermal environment for the spacecraft bus and payload. The largest factors driving the design of the thermal control system are the thermal requirements of the science payload and the components that comprise the subsystems. Constraints derived from the spacecraft layout and management plan also impact the thermal control system design. The ALMA thermal control system requirements can be seen in Table 37.

Table 37. ALMA thermal control system requirements and goals, which were derived from physical constraints and flowed down from requirements set for the science payload and subsystem components.

Requirements and Goals	Source	Type
Shall ensure the science payload maintains allowable temperature	Flow-down, Payload	Functional
Shall ensure the sub-system components stay within allowable operating and storage temperature ranges	Flow-down, Subsystems	Functional
Shall ensure that the thermal control system can be designed, tested, and integrated within the allotted cost	Allocation, Cost Breakdown	Constraint
Shall stay within the volumetric constraint defined by the launch vehicle payload fairing dimensions	Derived, Launch Vehicle	Constraint

In order for ALMA to stay fully functional throughout the entirety of the mission, the components onboard must stay within operational and storage temperature ranges. The operational and storage temperature ranges are defined by the specifications of the particular components that have been selected. Operational and storage temperature requirements were compiled from the specifications of the components and can be seen in Table 38.

Table 38. Science payload and subsystem component storage and operational temperature requirements, which were derived from the specifications of particular components and must be met for proper operation

Subsystem	Component	Storage Temperature [°C]	Operational Temperature [°C]
Science	Framing Camera	-20 to 60	-10 to 50
	GRS	-34 to 65	-20 to 35
Power	Batteries	10 to 25	10 to 25
	Solar Cells	-200 to 130	-150 to 110
Attitude, Determination, and Control	IMU	-62 to 85	-54 to 71
	Star Tracker	-10 to 40	0 to 30
Propulsion	Hydrazine Tank and Lines	5 to 50	15 to 40
Communications	Antennas	-120 to 120	-100 to 100
	Antenna Gimbals	-50 to 90	-40 to 80

# 9.2 Thermal Control Architecture

Thermal control of a spacecraft can be done with a number of different common methods, and the ultimate design depends on requirements of the system. In order to meet the requirements outlined above, many different thermal control components and architectures were analyzed, and the different options can be seen in Table 39.

Table 39. Detailed breakdown of the thermal control architecture and components options that were analyzed in order to select the optimum system in terms of mass, power and configuration<sup>48</sup>.

Function	Component	Type	Description	
Temperature Determination	Solid State Controllers	Active	Calculates and relays the temperature of a specific area	
Interaction with External Environment	Multi-Layer Insulation (MLI)	Passive	Reduce the amount of heat exchange via thermal radiation	
	Coatings	Passive	Control emissivity of the spacecraft exterior	
	Louvers	Active	Control amount of thermal heat exchange with environment	
Heat Provision	Electric Heaters	Active	Provides heat by turning electricity into thermal energy	
	Radio-isotope heaters	Passive	Provides heat via radioactive decay	
Heat Storage	Phase Changing Material	Active	Allow for storage of energy via phase change	
Heat Collection and Transportation	Conductive Plates	Active	Allows energy to dissipate through a plate structure	
	Heat Pipes	Passive	Transfers energy around the spacecraft via piping	
	Cooling loops	Active	Transfer energy to a dissipation unit via refrigeration loops	
	Thermal Joints	Passive	Transfer energy from a fixed element to a moving element	
Heat Rejection	Radiators	Passive	Transfer energy to the surrounding environment	
	Thermo-Electric Heat Pumps	Active	Transfer energy to the environment at a controlled rate	

There are many key functions in the process of thermal control of a spacecraft in interplanetary space. The main phases of thermal control on ALMA will be temperature determination, interaction with the external environment, heat provision, heat transportation, and heat rejection. In order to perform the different phases of thermal control, a number of different devices are commonly used, which all have different power, mass, and TRL. In order to choose the optimum components for ALMA, driving parameters had to be defined.

The driving parameters that were deemed a priority for the design of the thermal control system are minimal power consumption, technology development, and mass. By placing priority on these parameters, a baseline thermal control architecture was established and can

be seen in Table 40.

Table 40. The baseline thermal control system architecture designed to meet the thermal requirements and ensure the components on ALMA stay within an allowable temperature range.

Component	Туре	Use	Quantity	Total Power [W]	Total Mass [Kg]	TRL
Solid State Controller	Active	Determine temperature across the spacecraft	5	1	0.15	7
MLI	Passive	Reduce the amount of heat exchanged via thermal radiation	6.5 m <sup>2</sup>	-	1.2	9
Coatings	Passive	Reflect Solar Radiation	$3.37 \text{ m}^2$	-	-	9
Electric Heaters	Active	Provide heat to the propellant	4	40	0.4	9
Heat Pipes	Passive	Moves energy via piping structure	2 m	-	0.5	6
Radiators	Passive	Transfers heat to surrounding environment	1	-	0.287	6

Five solid state controllers will be placed on ALMA at various locations including near the science payload and propellant tanks. These solid state controllers will ensure accurate temperature readings at critical locations. If the temperature is not within the required range, the appropriate thermal control components will be activated.

The ALMA spacecraft will utilize multi-layer insulation (MLI) in order to reflect a large amount of solar energy and reduce the amount of energy radiated. A two sided aluminum coated polyimide with acrylic overcoat MLI will be utilized on ALMA because of its relatively low emittance and solar absorbance properties, 0.04 and 0.14 respectively. The aluminum coating will reflect a large amount of energy from the sun and the acrylic overcoat will protect the spacecraft from humidity on Earth before launch. The MLI will have a total thickness of 0.005 mm, and will be utilized on the five faces of the spacecraft bus that do not have the antenna, for a total of 6.5 m<sup>2</sup> (Ref. 55). Thermal coatings will be applied to the areas on ALMA that are not already covered in MLI. These thermal coatings will ensure that a specified level of absorbance and emittance on those faces. The two primary components that will have thermal coatings applied to them are the

high gain antenna and the backsides of the solar arrays. The antenna will be covered with an organic, high-temperature, low-emittance, metallic gray coating that has a thermal emittance of 0.33 and solar absorbance of 0.25. The back side of the solar arrays will be covered with a silicone yellow nonspecular marker coating with a thermal emittance of 0.60 and solar absorbance of 0.91 (Ref. 56). A total of 3.37 m<sup>2</sup> will be utilized on ALMA.

Electric heaters will provide the only active form of thermal control aboard ALMA. There will be four electric heaters, which are all capable of providing 10 W of power for a maximum amount of heat provision of 40 W. Two of the heaters will be placed near the propellant tank, while the other two will be placed near the science payload and onboard computer system. The heaters will provide up to 10 W each to ensure that the propellant does not freeze and that the science payload stays within the allowable temperature range during storage and operation.

A 0.2 m<sup>2</sup> deployable radiator will be placed aboard ALMA which will allow for controllable power dissipation. During phases in which it is necessary to dissipate heat, the radiator will be deployed in order to allow energy to escape to the surrounding environment, and when energy does not need to be dissipated, the radiator will be in a stowed configuration. The solid state controllers will determine when the radiator needs to be deployed. The stowed and deployed radiator configurations can be seen below in Figure 25.

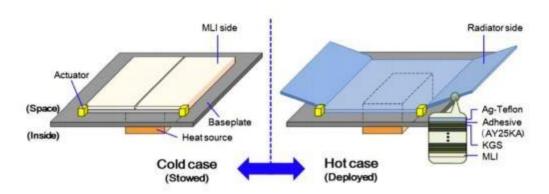


Figure 25. Deployable radiator configuration during hot and cold phases of operation<sup>57</sup>.

A heat pipe structure will be used to transport energy from different components and areas to the deployable radiator. The heat pipes will be made up of thin walled, lightweight metal pipes with liquid inside. The liquid will turn into vapor and transfer energy to the colder section of the heat pipe. This energy can be used to heat components or be dissipated by the radiator. Approximately 2 m of heat pipe structure will be used to transfer energy throughout the ALMA bus.

### 9.3 Steady State Temperature Properties

From the thermal requirement ranges provided for each subsystem component, it can be seen that the constraining requirement range is between 10 °C and 25 °C. By utilizing the baseline thermal control architecture outlined above, a steady state temperature that falls within this range will be reached. An analysis was performed for the worst case, "cold case," and best case, "warm case," scenarios, which correspond to a distance from the sun of 0.989 AU and 1.030 AU, respectively. These distances are the minimum and maximum distance the spacecraft will be from the sun at any given time during the mission.

The two separate cases were evaluated for each mode of operation that ALMA will be in throughout the duration of the mission. The steady state temperature of the spacecraft depends upon the mode of operation due to the difference in power requirements for each of the modes. It is assumed that 80% of the electric energy consumed will be converted into heat, which will contribute to the thermal balance. The steady state temperature can be adjusted by dissipating or providing heat.

The radiator will be used to control heat dissipation by either being stowed to slow thermal radiation, or being deployed to aid thermal radiation. The electric heaters provide heat by converting electrical power. By tuning these components for each mode of operation, the

spacecraft will reach a steady state temperature inside the required range. The steady state temperature values corresponding to each mode of operation can be seen in Table 41.

Table 41. Steady state temperature of the spacecraft bus for each mode of operation, which is actively controlled by changing the radiator status, stowed (S) or deployed (D), and adding heat via power.

	Spacecraft Bus Temperature - Cold Case (1.03 AU)									
	TLI, Eclipse	TLI, Day	Burn	Cruise	Cruise, Charging	Asteroid Approach	Science	Downlink	Eclipse	Deployment
Radiator Status	S	S	D	S	S	D	S	D	S	S
Power Addition [W]	40	-	-	-	20	-	-	-	40	-
Steady State Temp [°C]	20.57	20.88	15.95	17.42	19.32	15.95	18.63	22.02	20.57	17.53
		Sı	pacecra	aft Bus T	emperature	e - Hot Case	(0.989 A	U)		
TLI, TLI, Cruise, Asteroid Eclipse Day Burn Cruise Charging Approach Science Downlink Eclipse Deployment										
	TLI, Eclipse		Burn	Cruise	Cruise, Charging		Science	Downlink	Eclipse	Deployment
Radiator Status			<b>Burn</b> D	Cruise S	· · · · · · · · · · · · · · · · · · ·		<b>Science</b> S	<b>Downlink</b> D	<b>Eclipse</b> S	<b>Deployment</b> S
	Eclipse	Day			Charging	Approach				

As can be seen in the table above, the temperature of the spacecraft bus will never go below the lower limit of 10 °C and never goes above the upper limit of 25 °C. The steady state temperatures are always within the required range, no matter the distance from the sun. An analysis of steady state temperature versus distance from the sun was conducted and can be seen in in Figure 26.

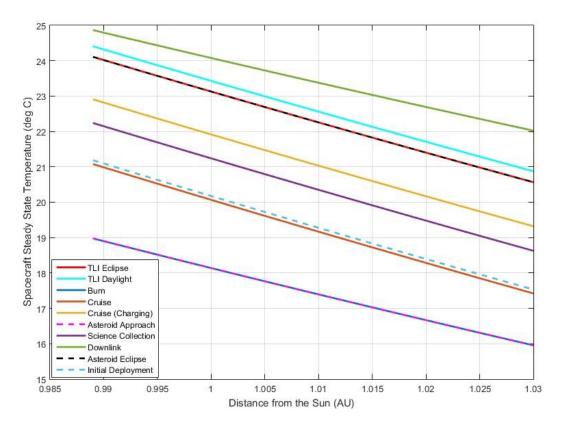


Figure 26. Steady state temperature of ALMA as a function of distance from the sun for every mode of operation, which shows that the spacecraft is always within the required thermal range. Generated using MATLAB.

The ALMA bus was analyzed separately from the solar arrays because they will not transfer a large amount of energy to the spacecraft bus. In order to maximize the performance of the solar arrays, the temperature of the arrays needs to stay as low as possible. In order to control the temperature of the solar arrays, a thermal coating will be applied to the backside of each array, which has an absorbance of 0.60 and an emittance of 0.91. By applying this coating, the arrays will radiate a large amount of energy, which will lead to a steady state temperature of 60.9 °C when ALMA is closest to the sun, and a steady state temperature of 54.2 °C when ALMA is farthest from the sun.

#### 10. Power

The power system will support the payload and the other subsystems as they accomplish their goals. The main requirement of the power subsystem is to provide up to 205 W peak power. The power system has been designed to utilize inexpensive and proven technology to provide the electrical power needed for the payload and other subsystems in order to operate at a minimum cost and risk. Additionally, the power system must stay within the cost, mass, and volumetric constraints defined by the overall mission plan.

Several architectures were initially analyzed to determine the best way to power ALMA, but in the end solar electric power was the only feasible way to provide enough power at low cost and mass. Solar power has the most heritage of any power architecture, therefore the hardware for solar electric power is also widely available.

Table 42. Solar electric power will be capable of supporting the ALMA spacecraft as it performs its mission.

Architecture Option	Advantages	Disadvantages
Solar Electric	<ul> <li>Extensive heritage</li> <li>Widely available</li> <li>Inexpensive</li> <li>Near constant power for long durations</li> </ul>	<ul> <li>Dependent on spacecraft attitude</li> <li>Must remain in the inner solar system</li> </ul>

While it is true that the power output of the solar arrays is dependent upon the spacecraft orientation, and the spacecraft must be in the inner solar system in order to produce enough power, these disadvantages are not expected to be issues. ALMA will stay within 1.05 AU of the Sun at all times, and the pointing tolerances of the solar panels are well within the ADCS system's capabilities.

Table 43. Hardware options for the solar cells capable of providing ALMA enough power during sunlight

Hardware Options		Advantages	Disadvantages	
Solar Cell	Si	<ul><li> More efficient</li><li> Inexpensive</li></ul>	Less radiation tolerant	
	GaAs	More radiation tolerant	More Expensive	

There are several materials that can be used to make the solar cells. Of these materials, silicon and single junction gallium arsenide (SL GaAs) have the most heritage, and their relevant properties are detailed in Table 15. Newer options, such as multi junction GaAs and gallium indium solar cells are too immature to be considered for ALMA. According to SMAD, SL GaAs solar cells are more radiation tolerant than Si cells, lasting 6 years in a high energy particle radiation environment before losing 15% of their beginning of life (BOL) output compared to just 4 years for Si cells. Since Si is more mature than GaAs, Si has a higher achieved production efficiency and a lower cost than GaAs<sup>48</sup>. These differences are detailed in Table 44. Therefore, because Si solar cells are more efficient, more available, and less expensive, ALMA will utilize Si solar cells.

Table~44.~Hardware~options~for~the~solar~cells~capable~of~providing~ALMA~enough~power~during~sunlight

	lware ions	Production Efficiency	Cost per Watt [W <sup>-1</sup> ]	Time to 15% Degradation in GEO 10MeV electrons	
Solar	Si	22%	\$378	4 yr	
Cell	GaAs	18.5%	\$852	6 yr	

The solar panels will need to be stowed for integration and launch. The arms on which the solar panels are fixed will be folded to rest alongside the main spacecraft bus while in the launch configuration. Once the ALMA spacecraft separates from the launch vehicle, the arms will fold out and the solar panels will be oriented towards the Sun so they can begin powering the spacecraft.

Secondary batteries will power the ALMA spacecraft during solar panel deployment, as well as during any unexpected loss of power from the solar panels.

The secondary batteries most often used in space applications are nickel cadmium (NiCd), nickel metal hydride (NiH<sub>2</sub>), and lithium ion (Li Ion). To stay within the mission constraints, the secondary batteries must be inexpensive and have a high energy density. This means that while NiCd has a wider range of operating temperatures, and both NiCd and NiH<sub>2</sub> have a longer history and more lifetime charge/discharge cycles, ALMA will use Li Ion batteries since they provide the highest available energy density at the lowest cost, as detailed in Table 45. While Li Ion is a much newer technology, it is still a TRL 9 component, and since ALMA is not expected to go through many eclipses, the number of lifetime charge/discharge cycles is not of high concern to ALMA.

Table 45. The advantages and disadvantages of different secondary battery options show that Li Ion batteries

are the superior choice over the more mature technologies.

Hardware Options		Advantages	Disadvantages	
Li Ion		High Energy Density	Fewer Charge/Discharge Cycles	
Secondary Batteries	NiCd	<ul> <li>Most Mature Technology</li> <li>Most Charge/Discharge Cycles</li> <li>Widest Operating Temperature</li> </ul>	<ul><li>Lower Energy Density</li><li>Charge Memory</li></ul>	
	NiH <sub>2</sub>	<ul><li> Mature Technology</li><li> More Charge/Discharge Cycles</li></ul>	<ul><li>Small Operating Temperature</li><li>Lower Energy Density</li></ul>	

Below in Table 46 is ALMA's overall power budget. ALMA will require different amounts of power depending on the mission phase. ALMA's solar arrays are sized to provide 15% more power than each subsystem's current best power estimate. This acts as a conservative contingency for TRL 9 technologies. The most power intensive modes are main engine burns, asteroid approach, and downlink to the ground station. The largest amount of power consumed at any one time by one subsystem is 135 W, which is consumed by the communications system during downlink. While not in direct sunlight, ALMA will switch to a low-power mode, during which

102 W will be consumed by the spacecraft. Although ALMA is not designed to be in an eclipse of the asteroid, the secondary batteries will be sized to power ALMA for two hours at 80% depth of discharge using this low-power mode. This provides plenty of time for ALMA to autonomously recognize the problem and escape the eclipse. ALMA is not expected to be in eclipse at any other time when it is not connected to the launch vehicle.

Table 46. ALMA's power consumption broken down by operational mode shows the efficient use of

subsystem power. Primary mission modes are bolded.

	Power	Thermal	Comms	Science	ADCS	Prop	C&DH	Structure	Charge	Total
						1			Battery	
TLI Eclipse	5.1	40.0	-	-	20.1	1.5	35.0	-	-	101.7
TLI Daylight	7.7	-	32.0	-	20.1	1.5	35.0	-	16.9	111.1
Burn	10.4	-	32.0	-	41.1	50.0	35.0	-	-	166.4
Cruise	6.7	-	32.0	-	21.1	-	35.0	-	-	92.7
Cruise (Charge)	6.0	20.0	-	-	21.1	1.5	35.0	-	16.9	99.5
Asteroid Approach	10.4	-	32.0	-	41.1	50.0	35.0	-	-	166.4
Science	7.1	-	-	38.5	36.1	1.5	35.0	-	-	167.0
Downlink	12.2	,	135.0		21.1	1.5	35.0	-	-	202.7
Asteroid Eclipse	5.1	40.0	-	-	20.1	1.5	35.0	-	-	101.7
Launch Vehicle Separation	6.8	-	32.0	-	20.1	-	35.0	3.0	-	94.8

ALMA will have the capability to produce more power than is need for most of the mission phases. Any unused energy must be dissipated as heat by the thermal control system. To reduce stress on the thermal control system, the solar panels will be angled away from the Sun when the spacecraft is not in downlink. The increased incidence angle will reduce the power produced by solar panels, reducing the amount of unused energy that will need to be rejected as heat. This has the added benefit of reducing the steady state temperature of the solar panels, because there is a

smaller projected area receiving thermal energy from the Sun. This reduction in temperature increases the overall efficiency of the solar cells.

ALMA will utilize a regulated direct energy transfer (R-DET) power control system. R-DET provides an efficient power regulation in terms of power needed, required mass, and total complexity. The major drawback of R-DET is that the full power generated by the solar arrays is not available until the batteries are fully charged. However, the batteries are expected to be fully charged at all times, so this is not an issue for ALMA.

The power control system will ensure the bus voltage remains at a constant 28 V. This is a standard spacecraft bus voltage, allowing the ALMA team to easily find parts that can handle this voltage. Any components that are not designed for 28 V will have their own power converter to ensure they are not overdriven.

ALMA's power system is expected to cost \$4.14M FY16, estimated using the 2010 Small Spacecraft Costing Model. The masses of the Si solar arrays, Li Ion secondary batteries, R-DET power control system, and the harness of the power distribution system are detailed in Table 47 below.

Table 47. ALMA's power system meets the power needs of the other subsystems at a minimum cost and mass.

Solar Array	Battery Mass	DET Control	PDS Mass	Total Mass	Cost [\$M FY16]
Mass [kg]	[kg]	Mass [kg]	[kg]	[kg]	
4.0	2.0	0.4	1.5	7.6	4.14

#### B. Project Management Plan and Schedule

#### 1. Schedule

## 1.1 Development

As a robotic precursor mission, ALMA shall follow the standard NASA JPL project lifecycle with formulation and implementation phases. Since schedule and economics have a major effect on the mission design viability, the standard schedule was reduced from a typical three-year development period for a small deep space robotic mission to 2.3 years because of the minimal technology development and use of COTS and high TRL equipment. This schedule advancement was done in order to meet operations cost constraints as well as increase the possibility for science return for NASA's ARM mission in the late 2020s. Beginning with Phase A in early April 2022 and ending with mission launch in late May 2024, the ALMA development time decomposes into four phases of the systems design with critical project reviews acting as control gates determining schedule advancement as seen in Figure 27.

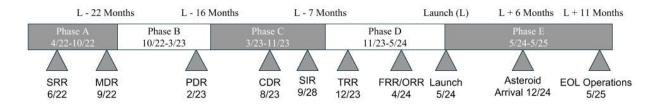


Figure 27. Schedule reserves are accommodated into each mission phase to allow for extensive technology development and increase program disturbance tolerance. ALMA development includes 65 days of phase C and D schedule margin.

In order to reduce cost and meet the requirement that this mission be a precursor for a crewed mission to an asteroid, the mission has been optimized to be as short in duration as possible while keeping costs low. This resulted in a desired design life of one year. Considering that the project is currently in the Concept Exploration phase, it is feasible to have useful science

information returned within 3.5 years of this mission being selected. Figure 28 provides more detail of the mission timeline with respect to specific required documents and activities.

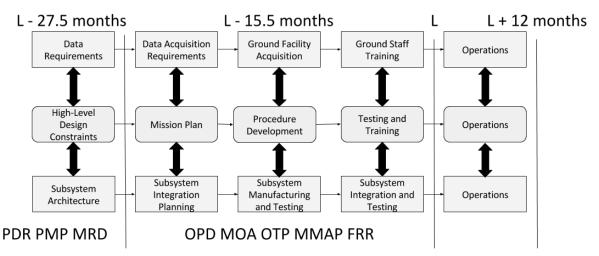


Figure 28. The ALMA mission operations lifecycle utilizes an interconnected path with specific procedures to ensure complete concept implementation. The abbreviations of documents required at the bottom of the figure are Preliminary Design Report, Project Management Plan, Mission Requirements Document, Operations Procedures Document, Mission Orbital/Trajectory Analysis, Ops Training Pan, Mission Master Activity Plan, Final Review Report, respectively.

The ALMA mission scope and requirements will be defined at the start of Phase A and determine the basis for system conceptualization after passing System Requirements Review (SRR). Payload specifications from the requirements will be delivered to the GRS provider for an instrument development lifecycle of approximately two years as determined by the science team. The technical feasibility of ALMA's spacecraft systems and operations concepts will be analyzed as the mission management hierarchy and functional baseline are finalized for the Mission Design Review (MDR). The platform concept definition will be matured through engineering analysis consistent with NASA's strategies and a complete risk analysis will be conducted through Phase B. These steps will include demonstration of technology development completion and the refinement of the overall concept of operations for the Preliminary Design Review. The Framing Camera instrument will be commissioned in Phase B to allow a year of payload technology development before integration. The spacecraft systems design will finish in Phase C and product

fabrications will begin in this first implementation phase after the Critical Design Review (CDR). Development will move into product assembly after the System Integration Review is passed for schedule progression into Phase D. Subsystems will be thoroughly tested for verification and validation to meet the Flight Readiness Review before ALMA must be delivered for launch.

The development lifecycle includes a standard 5 days per month of funded schedule margin for each phase to ensure the mission is robust to schedule setbacks. The risk of delays is inherent in mission management architectures prioritizing high-speed, cost-effective design, but the reduction in recurring development costs such as salary and facilities ensure ALMA's timeline is economically viable. The resulting development schedule reserve of 3.83 months, including one month directly before launch integration, mitigates the risk of spacecraft delivery failure.

#### 1.2 Operations

ALMA's phase E operations schedule outlines the near Earth and Lunar flyby activities, low-activity Hohmann transfer stage, and the near-asteroid station keeping science conduction. Following separation from the Minotaur payload fairing and solar panel deployment, ALMA will checkout with JPL for the Post-Launch Assessment Review (PLAR). Then the spacecraft will travel for three days on a direct transfer to the moon using only the Minotaur characteristic energy. Critical Event Readiness Review (CERR) 1 will occur on lunar approach to ensure ALMA can safely complete the lunar flyby and first propulsive burn into the cruise stage. ALMA's minimal operations cruise to 2008 EV5 takes approximately 188 days with spacecraft health checks occurring on a monthly basis to reduce DSN availability requirements. CERR 2 will take place before the second Hohmann transfer burn and initial asteroid surveying approach, reducing the risk of orbital trajectory errors when inserting into asteroid station in early February 2025.

The final CERR 3 verifies ALMA's station is optimal for science data collection and downlinking before actual operations, with time lengths in Table 48, take place. In order to accommodate the DSN coverage, ALMA must downlink the maps assuming only one hour of antenna availability per week. Therefore, most of the time spent in asteroid station will be a downlink standby phase for data delivery opportunities. The data will be taken in an initial high-altitude map and three subsequent maps at a lower altitude for a complete characterization of the asteroid within 5.3 months of arrival. ALMA's expected end of life occurs in September 2025 for a total operations lifecycle of 352 days in space. The single string design of ALMA's components increases risk after one year, leaving approximately 5% schedule margin in the design life. At the end of ALMA's science investigation, if resources allow, such as propellant and money, ALMA will image specific areas of interest on EV5 until system failure.

Table 48. The ALMA science operations deliver a large amount of usable data while keeping the mission lifecycle to a minimum simpler design implementation, development, and cost.

Near asteroid events and	Duration
EV5 Operations	149d
CERR 3	0
Map 1 and 2: HASK	20.9d
Science Objectives 1,2,3	7.45h
Downlink	20d
Map 3: LASK	42.9d
Science Objectives 3,4,5 (+15° off-nadir)	7.72h
Downlink	42d
Map 4: LASK	42.9d
Science Objectives 3,4 5 (0° off-nadir)	7.72h
Downlink	42d
Map 5: LASK	42.9d
Science Objectives 3,4,5 (-15° off-nadir)	7.72h
Downlink	42d

#### 2. Management

All management decisions will be made in accordance with the mission objective of providing valuable information to support a crewed asteroid mission. Reliability and ensuring mission success will be the highest priority, followed closely by cost. A small, experienced team is preferred over a large team because a small team will cost less overall and be easier to manage. This will be possible due to the relatively short duration of the mission and the simplicity of science and maneuvers being conducted.

While any organization with experience managing space missions will be able to handle this relatively simple mission, ideally the mission will be managed by JPL due to its access to the DSN and experience with interplanetary operations. This need not be a multi-institutional project; any institution with experience overseeing space missions should be able to assemble a team with enough experience to successfully complete this mission. A few small, dedicated teams will be in charge of assembling and building ALMA's subsystems. This is feasible because of the limited development needed to design, manufacture, and integrate the parts of each subsystem.

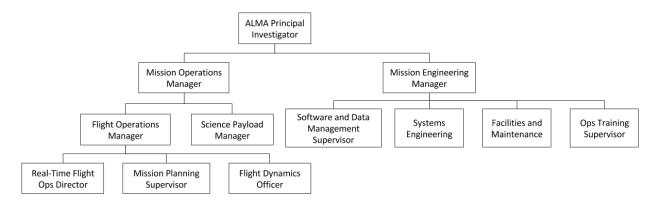


Figure 29. The ALMA management hierarchy is designed to allow lower levels the autonomy to contribute to the program while ensuring other system choices are not opposing each other to ensure mission success.

As can be seen in Figure 29, the ALMA principal investigator, who will have managers working directly under him or her to provide clear communication, is the final authority for the mission. However, decisions should be made at the lowest level by working within the parameters set by margins to adhere to disciplined development guidelines and prevent adverse effects. Due to the rigid constraints on mass and cost, great care should be taken when allowing an increase in the allotted expenditure of either money or mass in any subsystem.

Smallsat missions typically have small teams, which can lend itself well to many of the techniques listed in SMAD for reducing space mission cost and schedule<sup>48</sup>. The ALMA team should be willing to explore new cost reduction programs and re-allocating resources where possible if necessary to reduce cost. In addition, the team will be co-located to promote effective communications and quick response times to problems. Reducing project cost should be rewarded both at a personal and group level in whatever way deemed fit by the project managers. One exception is that the use of TRL 9 components should be used as extensively as possible due to the RFP preferring the use of "technologies already demonstrated on previous programs<sup>2</sup>."

By following this plan, the ALMA management team will provide exceptional value to the mission. As a new, relatively low-cost program, the ALMA management will be an example for future missions by utilizing techniques that promote inventive, successful ways to keep costs low and the timeline ahead of schedule.

### C. Cost and Cost Estimating Methodology

The short duration nature of this mission will help to keep costs low. Table 49 below highlights the allotted cost for each project element.

Table 49. Cost breakdown by work breakdown structure element, which leaves a large 23.6% margin.

Element	Contingency [%]	Maximum Estimated Cost [\$M FY16]
Payload	10	10.29
Communications	15	5.73
Propulsion	10	6.29
ADCS	10	3.23
Thermal	8	0.57
Power	8	4.14
Structures	5	3.04
CDH	5	2.46
Launch Vehicle	-	30
Operations	-	15
Total	-	81.36
Max Allowable	-	100
Margin	-	18.64

Every subsystem has a conservative maximum estimated cost, most of which are based on the Small Spacecraft Cost Model (SSCM) developed by The Aerospace Corporation<sup>10</sup>. The SSCM is a CER valid for small satellites which are Earth-orbiting, and is based on a study of 53 individual satellites. The SSCM estimate includes an estimate for integration and testing. Although not an Earth-orbiting satellite, ALMA is a smallsat, and many aspects of the subsystems will not change much from Earth-orbiting to interplanetary operations for ALMA. This is because, while interplanetary, ALMA will never be more than  $1 \pm 0.1$  AU from the Sun and will not be doing anything unique to interplanetary missions such as landing or collecting samples. The Operations element includes project management, systems engineering, and facilities and staff.

The exceptions to ALMA's SSCM estimates are the cost of the payload, which is based on pricing found from vendors, the launch vehicle, which is derived from the cost of a Minotaur V rocket, and the operations budget, which is calculated based on rough estimates of the number

of engineers on staff, mission duration, and associated cost per year of each engineer\*8. In addition, the propulsion system has been calculated using a weight-based cost model that is valid for smallsats\*8. The communications and CDH subsystems have been calculated using information from the SSCM combined with known costs for specific components. In addition, the communications system has used the SSCM, but provided a larger contingency because communications is one subsystem that will be very different for interplanetary operations compared to Earth-orbiting. ALMA is expected to cost less than \$82M. Given the strict mission budget allocation of the RFP of \$100M, ALMA has a contingency of over \$18M, or 18%. This provides room for error in the initial cost estimation of ALMA, and will further ensure adherence to the requirements of the RFP.

#### D. Anticipated Risks and Mitigation Strategies

Risk assessment for ALMA began during mission concept exploration and will be continually addressed throughout the project lifecycle. Hard metrics, beginning with the two months of scheduled risk analysis in Phase B, will be updated in a quantitative risk management plan. Preliminary analysis, starting with the fever chart in Figure 30, shows that ALMA will facilitate an aggressive approach to identifying mission threats. The hard cost and schedule constraints inherent to precursor missions do not allow for more than minimal risk tolerance. Therefore, multiple program management steps will be implemented for ALMA's success including those listed here: implementing previously demonstrated mission concepts, utilizing platform systems with design heritage, using COTS products and flight proven technology, careful management of engineering, cost, and schedule budgets, and taking advantage of teams and facilities with robotic mission experience. The top risks to ALMA and their proposed mitigation strategies are detailed in Table 50.

 $Table 50. Several\ mitigation\ strategies\ for\ each\ of\ ALMA's\ primary\ risks\ accumulate\ to\ improve\ the\ feasibility\ of\ the\ mission\ concept.\ Scores\ for\ each\ risk\ are\ determined\ by\ multiplying\ the\ mission\ impact\ score\ by\ the$ 

mission likelihood for a comprehensive array of program threats.

	Mission Risks								
	Risk Element	Mitigation Strategies	Risk Score	Impact	Likelihood				
A	Telecommunications link loss	Utilization of heritage communication architecture through the DSN     Scratch or GOTS development for HGA     High power margins allocated for data transmission	4	2	2				
В	Shock failure – thermal, acoustic	Heritage architecture technical approach     Thorough environmental testing and part screening in schedule     Simple building block configuration bus	10	5	2				
С	Science Data Corrupt or Incomplete	Reliable components     Efficient data collection and transmission operations robust to radiation	3	3	1				
		Implementation Risks							
	Risk Element	Mitigation Strategies	Risk Score	Impact	Likelihood				
1	Science Payload Development Risk	COTS TRL 9 FC/GRS with rad-hardening     High schedule (5 day/month) and cost (20% mission) allocations for developments     Heritage custom rad-hardening techniques from asteroid and remote sensing missions	5	5	1				
2	Missing intended launch date	Schedule and cost margins throughout design and construction     Research other launch dates in Phase B Risk Analysis	12	4	3				
		Use of existing infrastructure		_	_				

	5 - Very High					
	4 - High					
Mission Likelihood	3 - Moderate				2	
Lincinioou	2 - Low		A	3		В
	1 - Very Low			C		1
		1 - Low Impact	2 - Return reduced	3 - Full success not met	4 - Min success not met	5 - Mission catastrophe
				Mission	Impact	

Bin	Bin Likelihood/Probability		Impact		
	Mission	Implementation	Mission	Implementation	
1	<1%	<1%	Low Impact	Impact on subsystem	
2	1-5%	1-5%	Return reduced	Impact on system	
3	5-15%	5-50%	Full success not met	Impact may require project	
3	3-13/0	3-3070	Tun success not met	support	
4	15-25%	50-80%	Min. success not met	Impact requires project	
-	13-23% 30-80% Will. success 110		wiii. success not met	support	
5	>25%	80-100%	Mission catastrophe	Cost/schedule impact	
3	<i>&gt;23%</i>	00-100%	wiission catastrophe	jeopardizes the project	

Figure 30. The fever chart uses a semi-quantitative analysis to identify the top five project risks. Quality mission implementation decisions have yielded no high-risk threats to the mission despite the highly constrained smallsat mission architecture.

The mission risks listed in Table 50 are problems that could arise any time after spacecraft integration with the launch vehicle. The major, projected mission risks for ALMA are telecommunications link loss, shock failure, and science data corruption. Link loss and data corruption could prevent the ground team from receiving any data from ALMA, even if ALMA reaches the target asteroid without other issues, therefore rendering the mission at least a partial failure. Shock failure could lead to total mission failure, depending on which part fails. Each subsystem team will take steps to mitigate each of these risks, as discussed in the respective sections of this proposal.

The listed implementation risks are risks that are programmatic and cause issues before launch. These include development of the science payload, missing the intended launch date, and cost and schedule overages. If the developed science payload does not perform as expected, the needed data may not be collected or returned. In order to use a lunar flyby and conserve propellant,

ALMA must be launched on a specific day. Missing this target, either due to missing a previous deadline or due to unforeseen events, such as a storm, could lead to an extended waiting period before another possible launch date arrives. Infrastructure costs and schedule overages could contribute to a missed launch date or exceeding the mission budget. Mitigation strategies have and will be used throughout the design process to ensure this will not happen.

ALMA management's proactive approach to capture, track, and reduce risks throughout the development and operations phases improves the feasibility of the already low-risk mission concept. Other asteroid precursor mission approaches, including large space telescopes and asteroid landers, require advanced technology which will incur heavy costs without serious risk mitigation. ALMA's use of demonstrated technology and commercial parts addresses the primary risks inherent to the remote sensing architecture. The station keeping concept of operations also reduces risk by avoiding uncertainties due to landing on an unknown asteroid, while still providing more information in a shorter timeline than a telescope.

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## IV. Nomenclature and Abbreviations

ADCS = Attitude Determination and Control System

ALMA = A Low-cost Mission to an Asteroid

ARM = Asteroid Redirect Mission

ARV = Asteroid Redirect Vehicle

AU = Astronomical Unit

BFL = Back Focal Length

CDR = Command and Data Handling System

CER = Cost Estimating Relationship

CONOPS = Concept of Operations

COTS = Commercial Off the Shelf

Deg = Degree

DSN = Deep Space Network

EV5 = 2008 EV5

FC = Framing Camera

FOV = Field of View

GaAs = Gallium Arsenide

GOTS = Government Off the Shelf

GRaND = Gamma Ray and Neutron Detector

HGA = High Gain Antenna

Hz = Hertz

ICBM = Inter-Continental Ballistic Missile

IFOV = Instantaneous Field of View

Isp = Specific Impulse

IMU = Inertial Measurement Unit

Kbps = Kilobits per second

Km/s = kilometers per second

Li Ion = Lithium Ion

MLI = Multilayer Insulation

MMH = Monomethyl Hydrazine

MOI = Moment of Inertia

NASA = National Aeronautics and Space Administration

NEA = Near Earth Asteroid

NiCd = Nickel Cadmium

 $NiH_2 = Nickel Hydride$ 

NTO = Nitrogen Tetroxide

RCS = Reaction Control Thrusters

RF = Radio Frequency

RFP = Request for Proposal

RTG = Radioisotope Thermoelectric Generator

SEP = Solar Electric Power

Si = Silicon

TLI = Translunar Injection

TRL = Technology Readiness Level

USD = United States Dollar

# V. Compliance Matrix

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